



Multiple Fault Detection of Rolling Bearing through Ensemble Empirical Mode Decomposition of Vibration Signal

Sandip Kumar Singh

Abstract: Generally, two or more faults occur simultaneously in the bearings. These Compound Faults (CF) in bearing, are most difficult type of faults to detect, by any data-driven method including machine learning. Hence, it is a primary requirement to decompose the fault vibration signals logically, so that frequencies can be grouped in parts. Empirical Mode Decomposition (EMD) is one of the simplest techniques of decomposition of signals. In this paper we have used Ensemble Empirical Mode Decomposition (EEMD) technique for compound fault detection/identification. Ensembled Empirical Mode Decomposition is found useful, where a white noise helps to detect the bearing frequencies. The graphs show clearly the capability of EEMD to detect the multiple faults in rolling bearings.

Keywords: Compound Fault (CF), Empirical Mode Decomposition (EMD), Ensemble Empirical Mode Decomposition (EEMD), Intrinsic Mode Functions (IMF)

I. INTRODUCTION

Most of the rotating machine the failure is attributed to the failure of Rolling bearings. Rolling bearings fail due to the failure of generally four parts i.e., the outer race the inner race the roller, and the cage. When some failure occurs; a particular frequency is generated corresponding to that failure. If one type of failure persists for a longer time, it is responsible for another failure. This situation is termed as compounded fault situation. It is quite difficult task to detect compounded faults in bearings. Many techniques have been devised for detecting the single faults, but there are few which have been effective in case of compound faults. The most of the downtime of industries is caused by failure of bearings. It has great financial impact. The cost of bearings is not much high, but their failure causes great loss to the production efficiency.

Grasso M. *et al* [4], Hong *et al*. [5], and Wang *et al*. [6] utilized a data driven model for health assessment and life estimation of bearing using empirical mode decomposition (EMD) techniques. Ball fault frequency f_{BD} , Outer race fault frequency, f_o and Inner race fault frequency f_i are given as

$$f_{BD} = \frac{PD}{2 \cdot BD} f_s \left(1 - \left(\frac{BD}{PD} \right)^2 \cos^2(C) \right)$$

$$f_o = \frac{n}{2} f_s \left(1 - \frac{BD}{PD} \cos(C) \right)$$

$$f_i = \frac{n}{2} f_s \left(1 + \frac{BD}{PD} \cos(C) \right)$$

Where, f_s is the speed of shaft rotor in Hz, BD, PD, n and C are ball diameter, pitch diameter, number of balls in the bearing, and contact angle respectively. C is zero for ball bearings.

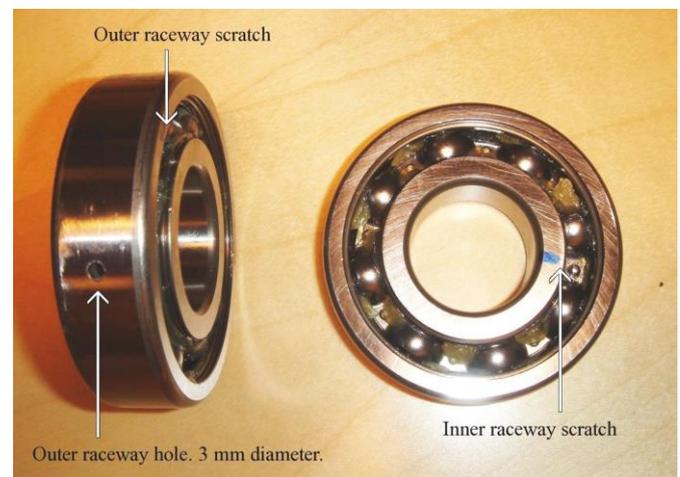


Figure1: Artificially created scratches on bearing parts

Fault detection of bearing can be done by vibration signal using signal processing.

The objective of bearing fault diagnosis is to examine if the vibration signal $x(t)$ consists of the bearing fault signal $f(t)$ faulty bearing signal can be expressed as $x(t) = f(t) + n(t)$ normal bearing signal can be expressed as $x(t) = n(t)$,

where $n(t)$ is the noise, which is unknown. Faulty bearing signal is expressed as a modulated signal

$$f(t) = m(t)c(t)$$

$m(t)$ is the modulating signal. Its frequency component is the fault signature. The frequencies of bearing are made available by designer of the bearing.

$c(t)$ is the carrier signal.

The detection becomes to examine if the fault signature can be extracted from $x(t)$

The important signal processing methods are as follows:

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- Fast Fourier transform
- Wavelet transform
- High freq. resonance technique
- Empirical mode decomposition
- Envelop detection
- Adaptive noise cancellation
- Short-time Fourier transform
- Spectral kurtosis

We propose Ensemble Empirical Mode Decomposition (EEMD) for multiple fault detection of bearing.

II. THE PROPOSED METHOD

A. Empirical Mode Decomposition (EMD): Empirical mode decomposition is it technique like Fourier transform or wavelet transform. It Breaks any signal into intrinsic mode functions (IMFs) which are nearly orthogonal bases. These IMFs are generally in finite numbers. The order of IMF follows from higher frequency to lower frequencies. Empirical mode decomposition is obtained by Hilbert-Huang Transformation (HHT).

EMD is used for decomposition of non-stationary & non-linear signals. The non-stationary signals are those signals which change the mean and variance with time. Generally, the signals obtained from rolling bearing are of non-stationary nature. the intrinsic mode functions are different from simple harmonic function as they show variable amplitude and frequency unlike two simple harmonic functions. The process of obtaining IMFs from the given data is called shifting. The intrinsic mode functions are generated by following two simple conditions:

(a) At first, all local maxima and minima of data are identified.

(b) All local Maxima are connected to form an upper envelope, and all local minima are connected to form a lower envelope.

The upper and lower envelopes are created in such a way that no data falls outside this envelope.

If the data is represented by $x(t)$ and mean of the data is m_1 then the difference of the two is called h_1 , the component.

$$h_1 = x(t) - m_1 \tag{1}$$

Now h_1 takes place of $x(t)$. New mean becomes m_{11} and the new component is h_{11} . Hence h_{11} is written as:

$$h_{11} = h_1 - m_{11} \tag{2}$$

Extending the same logic, we can write the kth component as follows:

$$h_{1k} = h_{1(k-1)} - m_{1k} \tag{3}$$

It becomes the first the intrinsic mode function and is written as:

$$c_1 = h_{1k} \tag{4}$$

Original data $x(t)$ can be expressed as,

$$x(t) = \sum_{i=1}^n c_i(t) + r_n(t) \tag{5}$$

When we take the Hilbert transform of these IMFs, it provides the instantaneous frequencies in the vibration signals.

B. Ensemble Empirical Mode Decomposition (EEMD):

Ensemble Empirical Mode Decomposition is used for improving the performance of EMD. Mode mixing is a problem generally found in EMD is resolved by adding white Noise to the vibration signals. After addition of white noise, the signal is decomposed into intrinsic mode functions the process is repeated by adding a copy of White Lies noise and again doing the decomposition through EMD.

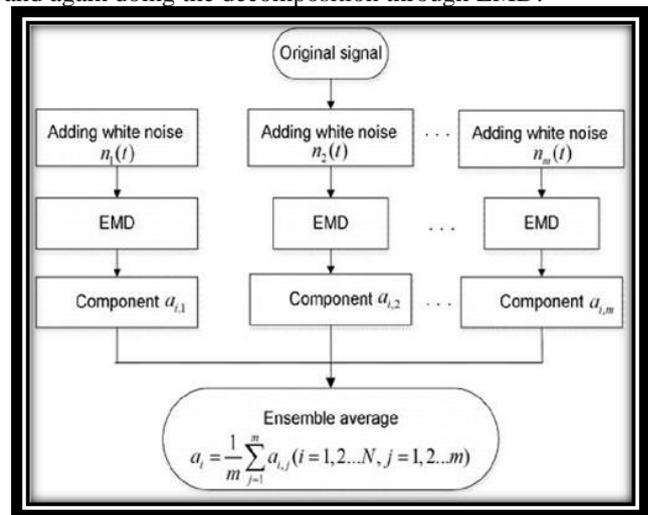


Figure2: EEMD Method

This is repeated number of times. At each time of decomposition fresh IMFs are generated. By taking the average of each IMF, the IMFs are finally created. The white Noise added to the raw data cancels one another in the process of averaging. Figure1 shows the flowchart of EEMD.

III. RESULT & ANALYSIS

The open access data set for validation of method has been used from PloSone [2]. The EEMD method has been tested by using for 500, 900 and 1300 rpm datasets [2]. Figure 3 shows the original input signal used and different IMFs obtained. It is observed clearly that the fault signal is a superimposition of weak multiple signals and strong confusion noise. On decomposing the input signal using EEMD we obtained five IMF's. The FFT of the IMF- 04 in figure 4 shows the existence of outer race fault on 60Hz.

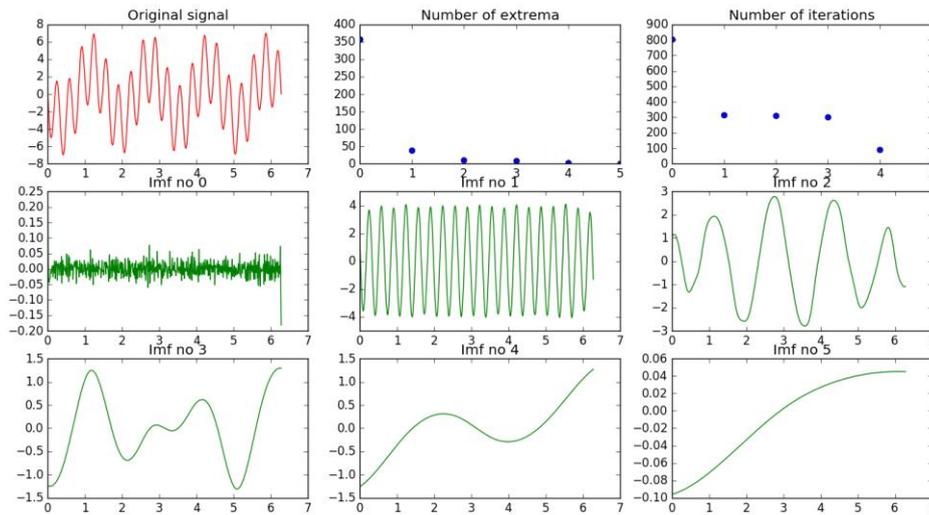


Figure-3: The original vibration signal and IMFs generated by EEMD

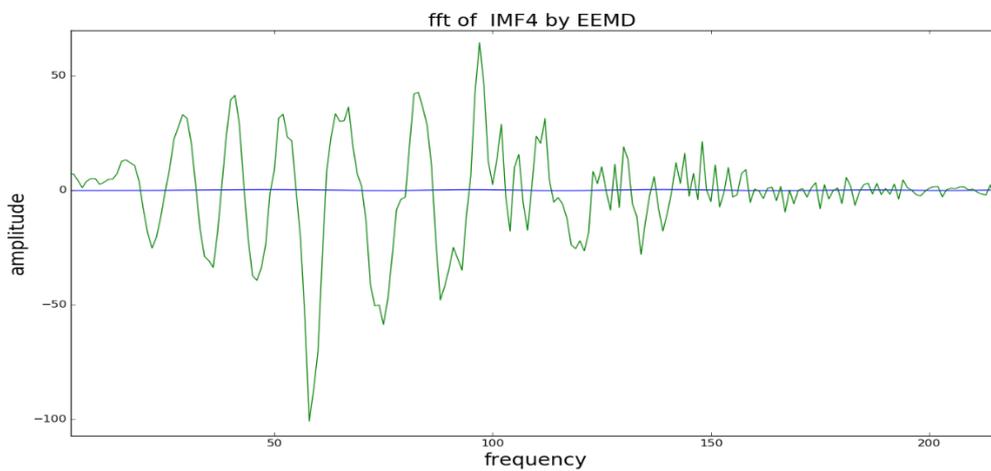


Figure-4: The fault frequency on outer race (60 Hz)

IV. CONCLUZION

In this paper we used EEMD technique to generate IMF for fault detection in the roller bearings. The proposed method performs in a completely data-driven manner by assessing the role played by each IMF in the diagnosis of the vibration signal collected from bearing in different operating conditions. It facilitates in detection of outer race bearing faults easily. But it has shown it’s limitation, as far as roller faults are concerned. This aspect is intended be the future work.

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Sandip Kumar Singh is presently employed as an Associate Professor in the Department of Mechanical Engineering at V B S Purvanchal University Jaunpur (U.P.), India. He completed his B.Tech. degree from Kamla Nehru Institute of Technology (KNIT) Sultanpur (U.P.), and did M.Tech. from National Institute of Technology (N I T) Kurukshetra. He has done Ph.D. from Indian Institute of Technology (IIT BHU) Varanasi. His area of interest is Machine Learning and Structural Health Monitoring.

