Latent Feature Word Representations to Enhance Topic Models for Text Mining Algorithms

Thayyaba Khatoon Mohammed, M. Gayatri, M. Sandeep, V. S. K. Reddy

Abstract: Dealing with large number of textual documents needs proven models that leverage the efficiency in processing. Text mining needs such models to have meaningful approaches to extract latent features from document collection. Latent Dirichlet allocation (LDA) is one such probabilistic generative process model that helps in representing document collections in a systematic approach. In many text mining applications LDA is useful as it supports many models. One such model is known as Topic Model. However, topic models LDA needs to be improved in order to exploit latent feature vector representations of words trained on large corpora to improve word-topic mapping learnt on smaller corpus. With respect to document clustering and document classification, it is essential to have a novel topic models to improve performance. In this paper, an improved topic model is proposed and implemented using LDA which exploits the benefits of Word2Vec tool to have pre-trained word vectors so as to achieve the desired enhancement. A prototype application is built to demonstrate the proof of the concept with text mining operations like document clustering.

Keywords: Text mining, document clustering, LDA, topic modeling, Word2Vec

I. INTRODUCTION

Modeling biomedical or other documents need a systematic approach. LDA [2] is one such proven approach that is widely used. Moreover, it supports different models like topic model, author model and author-topic model. There are many variants of LDA that are used for customized modeling and processing. Generative process models thus became popular and useful to text mining purposes. Conventional topic modeling made with LDA and its variants can inter distributions like topic-to-word and document-to-topic. It is based on the co-occurrence of words within given documents. More information on probabilistic topic models can be found in [3] while modeling hidden topics is studied in [5]. Topic models have got supervised and unsupervised extensions as investigated in [6].

Though topic models have been around with many LDA variants, of late, the notion of latent features is introduced. Latent feature (LF) vectors are widely being used to process NLP tasks. Latent features permit a range of values that become a part of high-dimensional space which has proved to be efficient for modeling large corpus. Two latent feature models based on LDA and Dirichlet Multinomial Mixture Model (DMM) are explored in this paper. Based on these baseline process models, Word2Vec based variants are introduced and used for effective modeling of latent feature word representations. Our contributions in this paper are as follows.

1. We proposed two generative process models considering latent features that are based on LDA and DMM respectively.
2. We exploited the latent feature topic models for better representation or modelling to leverage performance of text mining operations like document clustering.
3. We built a prototype application to show the effectiveness of the proposed generative process models with latent feature vectors.

The remainder of the paper is structured as follows. Section 2 presents review of literature based on generative process models for systematic modeling of document corpora. Section 3 presents the LDA for modeling. Section 4 covers derivation of latent feature models that are used for improving text mining operations by using Word2Vec toolkit. Section 5 presents experimental results while section 6 provides conclusions besides directions for future work.

II. RELATED WORK

This section reviews literature on the LDA [2], [8] and its variants for topic modeling. Generative process models like LDA became instrumental in processing text documents. Rosen-Zvi et al. [1] derived author model from LDA to give importance to author based processing of documents. Shen et al. [2] on the other hand proposed a latent topic model that is meant for processing documents to obtain latent friends. An author-topic model focuses on both authors and topics at the same time. This model is proposed by Rosen-Zvi et al. [3] for text mining algorithms. Similarly, to represent topic and author community, Liu et al. [4] proposed a model known as Topic-Link LDA. While all the models can be used for different mining purposes, Melnykov and Maitra [5] focused on clustering applications that are based on generative process models. Fatema et al. [6] used micro blogs data in order to extract topics based on authors and other attributes like recipients and contents. Bishop [7] explored these models for machine learning as part of Information Retrieval (IR).

From the LDA many variants of topic models came into existence. One such variant is proposed by Blei [9] for generating probabilistic topic models. With respect to word co-occurrence statistics, Bullinaria and Levy [10] extracted semantic representations for better accuracy of processing textual content.

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Cai et al. [11] proposed a generative model for modeling hidden topics towards enhancing performance of text clustering. Cao et al. [12] on the other hand introduced a neural network model for getting artificial intelligence (AI) from textual documents. More on topic models can be found in [13] for improvements in single-label text categorization. Natural Language Processing (NLP) is crucial for text mining. Towards this end, Collobert and Weston [14] proposed a unified framework for NLP. Deerwester et al. [15] focused on latent semantic analysis and its usage of indexing in order to improve the performance of mining operations. When the text documents reflect sparsity, it is essential to deal with them as well. Eisenstein et al. [16] investigated on sparse additive generative models for solving the problem with such documents. Glorot et al. [17] on the other hand used deep learning to improve domain adaptation for sentiment analysis. Topic analysis for finding topics related to scientific studies is explored by Giffits and Steyvers [18]. Hingmire et al. [19] studied document classification and topic labelling with process models. Topic modeling with Twitter dataset for generating recommendations and realizing different applications is made in [20]. From the literature, it is understood that there is need for further improvement in the performance. Towards this end, in this paper, we proposed novel models with Word2Vec usage for better performance as it provides vectors that are rich in coverage and useful for text mining algorithms.

III. LATENT DIRICHLET ALLOCATION

It is a generative process model which is widely used for document clustering or text mining. It has provision to graphically present the model that will help in implementing different models such as author models, topic models and author-topic models. Figure 1 shows the LDA graphical model which provides a process model to work with documents.

<table>
<thead>
<tr>
<th>Notation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>D</td>
<td>Set of documents</td>
</tr>
<tr>
<td>T</td>
<td>Set of topics</td>
</tr>
<tr>
<td>Theta</td>
<td>Matrix reflecting distribution of topics</td>
</tr>
<tr>
<td>Alpha</td>
<td>Matrix reflecting document-specific mixture weights for T</td>
</tr>
<tr>
<td>w</td>
<td>Indicates a single word in given document d</td>
</tr>
<tr>
<td>d</td>
<td>Indicates a single document from D</td>
</tr>
</tbody>
</table>

Table 1: Notations used in LDA

Different algorithms came into existence based on LDA model. They include variation inference, expectation propagation, and Gibbs sampling. The generative process for LDA as illustrated in Figure 1 is as follows.

\[
\theta_d \sim \text{Dir}(\alpha) \quad d_z \sim \text{Cat}(\theta_d) \\
\phi_z \sim \text{Dir}(\beta) \quad w_i \sim \text{Cat}(\phi_{z_i})
\]

Dir stands for Dirichlet distribution while Cat denotes categorical distribution. The topic indicator for ith word denoted as wdi in given document d. The wdi is generated by a dirichlet multinomial component which topic-to-word in nature. For a given topic zdi categorical distribution is denoted as \( \text{Cat}(\phi_{z_{di}}) \).

IV. DERIVATION OF LATENT FEATURE TOPIC MODELS FROM LDA

Two novel models are derived from the LDA. Two probabilistic models are known as LF-LDA and LF-DMM. These two models help in achieving latent feature topic models. Figure 2 shows the models used in this paper along with along with Word2Vec which generates a set of vectors or feature vectors for words in the given corpus. The usage of Word2Vec provides higher level of accuracy in text mining applications.

These two models are formed by original LDA and DMM models respectively. The traditional models are replaced by two component mixture of a topic to word dirichlet multinomial component and latent feature component. Both have resemblance with the original LDA or generative process models. The difference is that the latent feature models define probability of a word given the topic with respect to categorical distribution. This relation is represented as in Eq. 1.
\[
\text{Cat}E(w|T_1^wT) = \frac{\text{exp}(T_1^wT)}{\sum w^2 e^w \text{exp}(T_1^wT)}
\]

The generative process model for the LF-LDA is as shown below.

\[\theta_d \sim \text{Dir}() \quad d_i \sim \text{Cat}(\theta_d)\]
\[\phi_z \sim \text{Dir}(\beta) \quad w_d \sim \text{Ber}()\]
\[wd_i \sim (1 - sd_i)\text{Cat}(\phi_{d_i}) + sd_i \text{Cat}E(Tz_{d_i}, \omega^T)\]

Similarly, for LF-DMM, the generative process model is given as follows.

\[\theta \sim \text{Dir}() \quad \phi_{d} \sim \text{Cat}(\phi)\]
\[\phi_{z} \sim \text{Dir}(\beta) \quad w_{d} \sim \text{Ber}()\]
\[wd_i \sim (1 - sd_i)\text{Cat}(\phi_{d_i}) + sd_i \text{Cat}E(Tz_{d_i}, \omega^T)\]

The word – topic assignment probability is explored for each world. Thus inference models are created for LF-LDA and LF-DMM. Then these models are used along with W2V in order to have observations on different datasets. The datasets used for empirical study are TMN and TMNTitle and F1 measure is used for performance analysis. With respect to document clustering, the W2V model has shown better performance as presented in Section 4.

V. EXPERIMENTAL RESULTS

An application is built with Java Swing API with Graphical User Interface (GUI). This intuitive application is meant for loading datasets and then perform required text mining operations on them as per the generative process models proposed in this paper. The prototype is used to provide good understanding about the process models that act on the given document corpus. Experimental results are presented in this section. A prototype application is built to validate the proposed latent feature topic models. The functional requirements of the proposed system are logically divided into modules namely IO Module, NLP Module, LF-TOPIC-LDA Module and Word2Vec Module. The IO module is responsible to provide functions related to inputs and outputs. The inputs are document corpus (collection of documents) and output is the result of clustering operation and performance. NSP module is meant for performing NLP operations while performing text mining (like clustering). It has provision for programatically understanding words and sentences for similarity and other purposes. LF-TOPIC-LDA modules the generative process model that is derived from the LDA topic model. It is responsible to have latent feature weight computations and processing documents in the way LF-TOPIC-LDA (diagram) is graphically modelled. Word2Vec module when integrated with the system produces set of vectors from the pre-trained models for improving text mining process. In other words, the clustering performance will be improved with the usage of this module.

The application built for experiments is able to support different operations that are required by the proposed process models known as LF-LDA and LF-DMM. The interface reveals operations like Get Features, Evaluations, Get Models and Get Utility. The process models help in taking various kinds of input. Different input parameters are presented in Listing 1.

Listing 1: Shows the possible parameters that can be specified to fully exploit models

As presented in Listing 1, there are different input parameters that can be given to process models. They are known as alpha, beta, corpus, initers, lambda, model, name, initers, topics, paras, towards and vectors. By using the parameters as input, it helps user to exercise certain control over the models to deal with text mining activities.

Listing 2: Shows the iterative process pertaining to LF-LDA sampling

```sql
| Corpus size: 400 docs, 1867 words |
| Vocabulary size: 713 |
| Number of topics: 4 |
| alpha: 0.1 |
| beta: 0.01 |
| lambda: 0.6 |
| Number of initial sampling iterations: 2000 |
| Number of EM-style sampling iterations for the LF-LDA model: 200 |
| Number of top topical words: 20 |
| Randomly initializing topic assignments... |
| Running Gibbs sampling inference: |
| Initial sampling iteration: 1 |
| Initial sampling iteration: 2 |
| Initial sampling iteration: 3 |
| Initial sampling iteration: 4 |
| ... |
| Estimating topic vectors... |
| LF-LDA sampling iteration: 133 |
| Estimating topic vectors... |
| LF-LDA sampling iteration: 134 |
| Estimating topic vectors... |
| LF-LDA sampling iteration: 135 |
| Estimating topic vectors... |
| LF-LDA sampling iteration: 136 |
| Estimating topic vectors... |
```
As presented in Listing 2, the proposed LF-LDA sampling is carried out. It is the iterative process that keeps estimating topic vectors. Once the iterative process is completed, it proceeds to write output to secondary storage.

Listing 3: Presents an excerpt of results of the proposed models

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VI. EVALUATION OF RESULTS

Results are evaluated with F1 measure which is widely used to know the performance of text mining algorithms. TMN dataset and TMNtitle dataset are used with different number of topics like 7, 20, 40 and 80 for empirical study. However, the value of $\lambda$ is set to 0.6 for empirical study. The results are as follows.

Table 2: Performance comparison with TMN Dataset ($\lambda = 0.6$)

<table>
<thead>
<tr>
<th>Method</th>
<th>No. of Titles</th>
<th>F1 Score Against Different No. of Titles</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$T=7$</td>
<td>$T=20$</td>
</tr>
<tr>
<td>LDA</td>
<td>0.658</td>
<td>0.754</td>
</tr>
<tr>
<td>w2v-LDA</td>
<td>0.68</td>
<td>0.77</td>
</tr>
</tbody>
</table>

As presented in Figure 3, the number of topics is shown in horizontal axis and the vertical axis provides F1 score values against number of topics. The experimental results revealed that the number of topics has its influence on the F1 score. Another important observation found is that the proposed method has shown improved performance over the baseline LDA method.

Figure 3: Number of topics vs. F1 score

Figure 4: Number of topics vs. F1 score for baseline and proposed DMM
As presented in Figure 4, the number of topics is shown in horizontal axis and the vertical axis provides F1 score values against number of topics. The experimental results revealed that the number of topics has its influence on the F1 score. Another important observation found is that the proposed method has shown improved performance over the baseline DMM method.

As presented in Figure 5, the number of topics is shown in horizontal axis and the vertical axis provides F1 score values against number of topics. The experimental results revealed that the number of topics has its influence on the F1 score. Another important observation found is that the proposed method has shown improved performance over the baseline LDA method.

As presented in Figure 6, the number of topics is shown in horizontal axis and the vertical axis provides F1 score values against number of topics. The experimental results revealed that the number of topics has its influence on the F1 score. Another important observation found is that the proposed method has shown improved performance over the baseline DMM method.

**REFERENCES**


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