

# Performance Evaluation of Neuro-Fuzzy Clustering for Hydro Thermal Power System



Ajay Kumar Maurya, G.K. Banerjee, Piush Kumar

**Abstract:** To meet increasing power demands and reduce carbon emission additional renewable sources of power are added to existing thermal unit. Even network frequency change by 1% due to change in speed, may result in loss of synchronization with the rest of power system and finally resulting in power system black-out. Inter-connection of hydro units to existing thermal system can allow to transmission system to operate at full capacity. The paper investigates LFC by adding non-linearity's to the thermal and hydro-thermal systems. Further PID and Neuro-Fuzzy Controllers are compared for these systems. A multi section steam turbine with re-heater was used for a single area system modeled in MATLAB, later two single area systems were combined to create a two area system, and its dynamics are studied by creating load perturbations. Form simulation studies it is shown that the proposed Neuro-Fuzzy controller was able to attain a setting time of 10 Sec which is comparatively lower than other existing speed controllers. The time domain response result of hydropower system proves that it provides more rapid output response and minimal overshoot.

**Keywords :** Adaptive Fuzzy, Frequency Control, Fuzzy Control, Neuro-Fuzzy Control, Speed Control, Steam Turbine Speed, Two Area System.

## I. INTRODUCTION

### A. Overview

The steam turbine is the prime mover for synchronous generators in thermal power stations. The speed in which the turbine rotates is controlled by a governor and its associated control system, this decides the output frequency of the generator (Fig. 1). The frequency of electric power generated is related to the speed of the turbine by the expression,  $N_s = 120 * F / P$ , Where,  $N_s$  is the speed of the turbine,  $P$  is the number of poles and  $F$  is the frequency.

Load Frequency Control of a power system is concerned with the following objectives:

- Match the power generation as per the load
- Maintain the System frequency (F)
- Maintain Tie line power scheduled within the restraining

values

The steam inlet level is adjusted by the control value, thereby controlling the speed of the turbine. Due to the complexity involved in steam turbine control and the related electrical generator, conventional speed regulation systems can no longer afford fast dynamic response. This has led to the design of robust controllers with superior dynamic response.

In general, the mechanical and electrical measured parameters used to identify the model of steam power plant are translated into suitable transfer functions for various components in the power plant [1]. The model selected in this investigation is the turbine speed control system presented in a two-area system i.e. the turbine generator system is electrically tied to a similar system through a tie-line. Each area has a number of steam turbine coupled generators which operate as a coherent group, i.e. all generator of the area responds in unison to external disturbances.

### B. Objectives of the Proposed Work

The foremost Contribution of this investigation on speed controllers are,

- To develop a Neuro-Fuzzy Controller with fine speed response to track load demands and reject disturbance, with a reduced settling time and acceptable overshoots.
- Ensure zero steady state error after frequency deviations created due to disturbances.
- Evaluate the performance of the designed Adaptive Neuro-Fuzzy Controller (ANFC) with PID and Fuzzy controller modes.

Prior works by a number of control engineers, namely Bode, Nyquist, and Black, has established links between the frequency response of a control system and its closed-loop transient performance. A major issue in the design of the controllers for thermal power systems is the parametric uncertainties. These uncertainties have been taken into account by several authors and concepts like variable-structure systems have been suggested to handle these issues [2, 3].

Studies carried out on classical control approaches reveal that it would result in reasonably large overshoots and transient frequency deviation [4-6]. Further, in these reported works the settling time of the system frequency deviation is relatively long, of the order of 20-40 seconds. Various methods of speed control cited in literature are can be classified as Conventional and Intelligent Methods.

#### 1) Conventional control methods

- LQR based controlling techniques
- Proportional, Derivative, Integral controlling techniques

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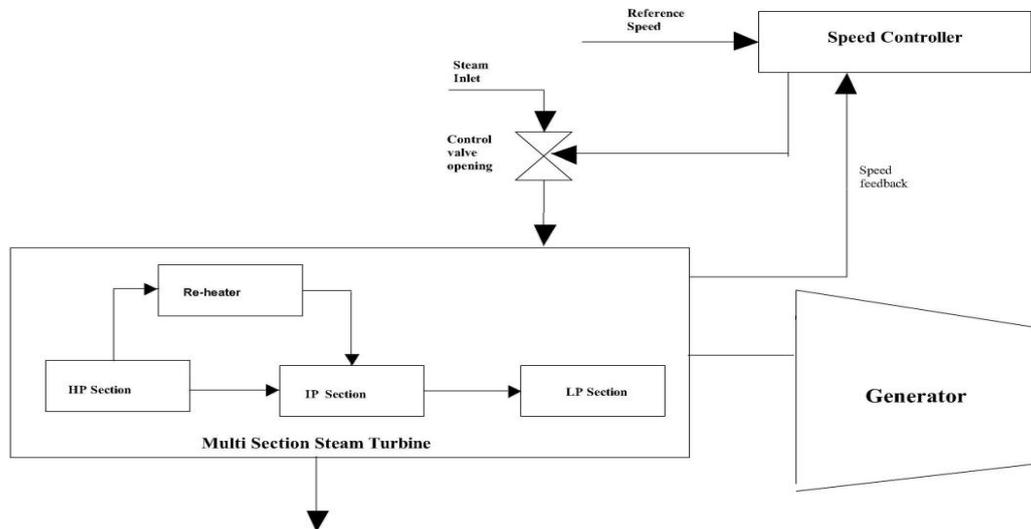
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- 2) Adaptive and Variable structure methods,
- 3) Robust controller approaches
- 4) Artificial Intelligence (AI) methods [7- 18]
- Fuzzy logic - Based on Fuzzy rules
- Neural network – Machine learning, Parameter Identification
- Genetic Algorithm – Used to tune PID Parameters
- Particle Swarm – Used to tune PID Parameters

Among these control algorithms like Fuzzy and Neuro-Fuzzy are hot research topics in the start-of-the-art algorithm development for nonlinear control system. The subsequent section describes the modeling of thermal power system and its components used for the simulation studies in MATLAB.



**Fig.1. Steam Turbine Control System**

This paper is organized as follows; in section 2 the open loop response of a interconnected two area thermal system is done. In section 3 two thermal and one hydro unit is modeled. The Neuro-fuzzy controller application is presented in section 4. The comparison of neuro fuzzy with PID for thermal and hydro-thermal systems is given in section 5. The final section 6 presents the conclusion of this article.

## II. TWO AREA STEAM TURBINE CONTROL SYSTEM MODELING

Two commonly employed models in study of speed dynamics are,

1. Transfer function approach.
2. State variable equations.

The transfer function method was used in this work. Each component in the LFC (Load Frequency Control) system is modeled in block diagram with the help of transfer functions of multi section steam turbine, re heater & generator. The speed control loop performance analysis is done using this comprehensive two area power system. The incremental power mismatch is given as in Eq. (1).

$$(\Delta P_m - \Delta P_l) = 2Hd(\Delta f)/dt + D\Delta f \dots\dots\dots (1)$$

Where,

- $\Delta P_m$  is Mechanical Power,
- $\Delta P_l$  is the Load change,
- $\Delta f$  is the Frequency deviation,
- H is the Inertia Constant,

Now, D the Load Damping Coefficient of Eq. (1) can be

modified as,

$$G_p = 1 / (2Hs + D) \dots\dots\dots(2)$$

Discrepancies occurs in development of the mathematical model for the Steam Turbine coupled with generator owing to the parameter variations, un-modeled dynamics and approximation made in these models. Any controller designed must be robust in order to lessen the effect of these mismatches. In this work conventional PID controller designs are compared with Neuro-Fuzzy based controller for the steam turbine speed control. The simplified model of the Single Area Multi Section Steam Turbine is presented in Fig. 2 and the Bode Plot Response of the same obtained from the transfer functions of the Mechanical and electrical systems given in Fig. 3.

## III. CONTROLLER FOR TWO AREA SYSTEM

The Ziegler and Nichols proposed the well-known Ziegler-Nichols technique to tune the coefficients of a PID controller. Tuning parameters of PID controller decides the error and other time domain response characteristics. This method despite of its simplicity, cannot guarantee to provide the best controller parameters, hence the Simplex method was preferred in this research



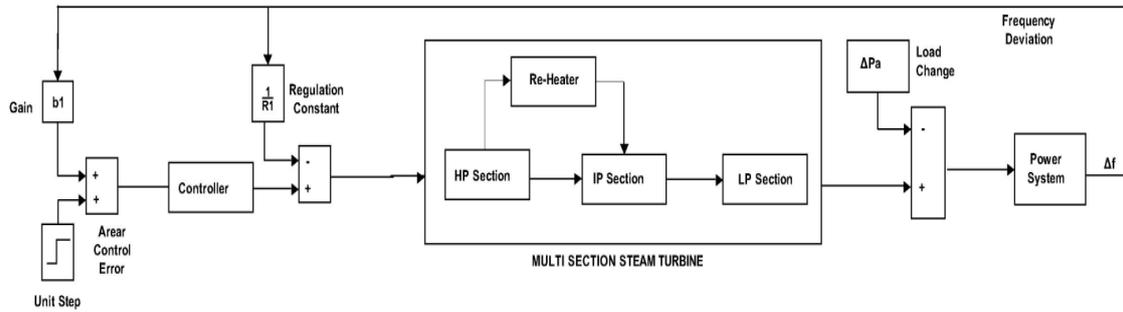


Fig. 2. Single Area Power System Block Diagram

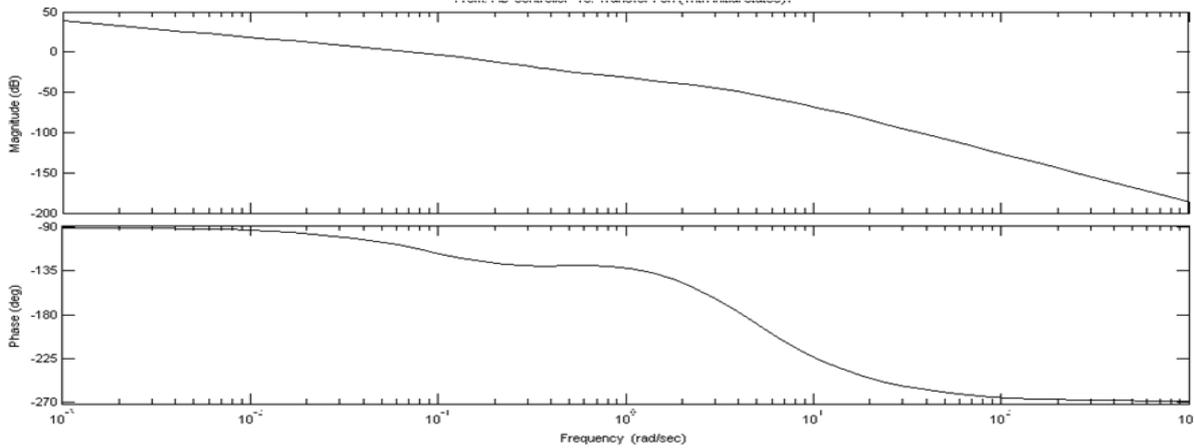


Fig.3. Bode Plot of the Single Area Systems with PID Controller

In Nelder-Mead algorithm (NM) or (simplex search) method a simplex  $s$  in  $R^n$  is defined as the convex hull of  $n+1$  vertices  $a_0, \dots, a_n \in R^n$ . In our case the PID parameters  $K_p$ ,  $K_i$  and  $K_d$  constitute a tetrahedron then, the algorithm selects a new test position by extrapolating the objective function and the advancement is based on the replacement of the test point. The creation of new simplex is based the mathematical model of PID controller given by Eq. (3).

$$Y(t) = K_p e(t) + K_i \int_0^t e(t) dt + K_d \frac{de(t)}{dt} \dots (3)$$

Where,

$Y(t)$ ..... controller output.

$E(t)$ ..... error signal.

$K_p, K_i, K_d$ .....proportional, integral and derivative gains.

For realization of the controller in an embedded system the PID controllers are preferred in industries. Perhaps the use of Neuro-Fuzzy controllers can be simplified by the advent of

Hardware-In-Loop features in software like MATLAB.

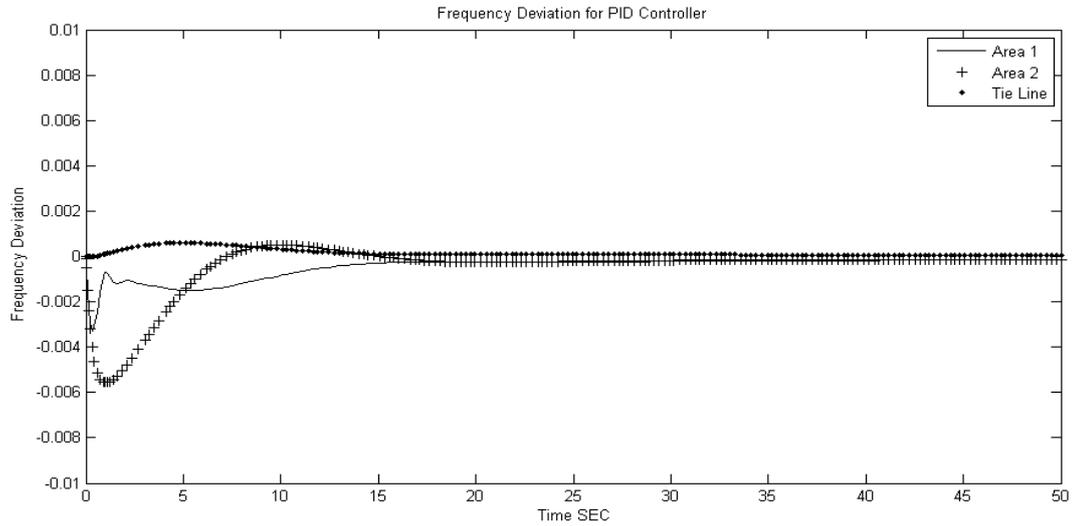
PID controller are preferred in industries as they can be easily implemented via PLC (programmable logic controllers) or using embedded processor, the foremost task in implementation of PID controller is the tuning of the parameters to obtain the desired response. The trial and error

adjustment was carried by means of the following criteria

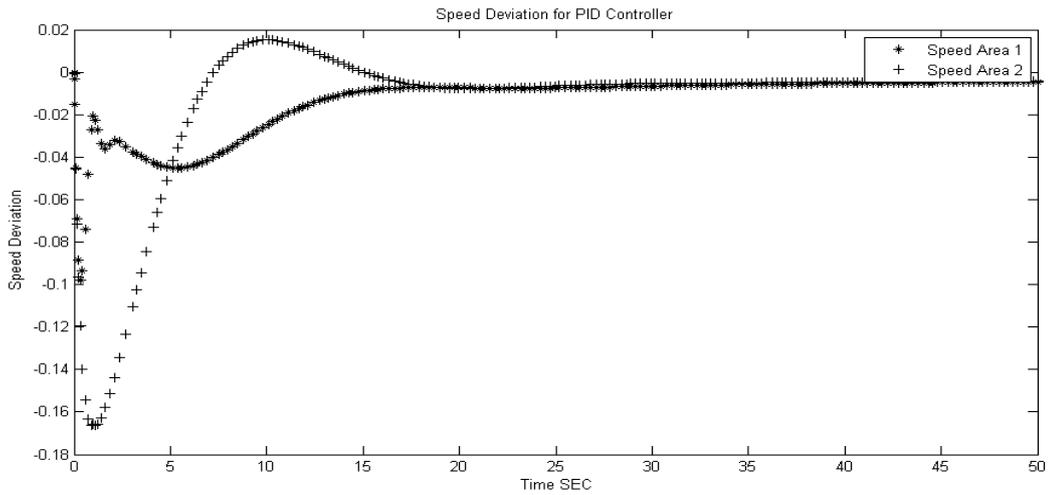
- a) Higher value of  $K_p$  results in decrease of rise time and steady state error but oscillation may increase.
- b) Derivative control  $K_d$  was used to trim down the system oscillation, thereby providing reasonable overshoots.
- c) When  $K_p$  and  $K_d$  are fixed then the value of  $K_i$  can be adjusted to provide rational settling time.

The response of the PID system under external disturbances, for Frequency deviation and Speed deviation is presented in Fig. 4 and Fig. 5 respectively. From the response characteristic it's obvious that a setting time of 15 Sec can be obtained by proper tuning of the PID parameters. The hydro system consists of the hydro turbine, with its governor and associated electric systems. The non-linearity of the hydro system creates large overshoots and longer settling times. To study the behavior of the hydro turbine a 1% disturbance was fed to the SIMULINK model presented in Fig. 6. The resulting transients are presented in Fig. 7. These hydro and thermal areas are interconnected by a tie line. The Load disturbances are expressed in  $\Delta P_{11}$  and  $\Delta P_{21}$  in thermal and hydro area respectively.  $R_1$  and  $R_2$  represent frequency bias in area 1 and area 2 respectively.

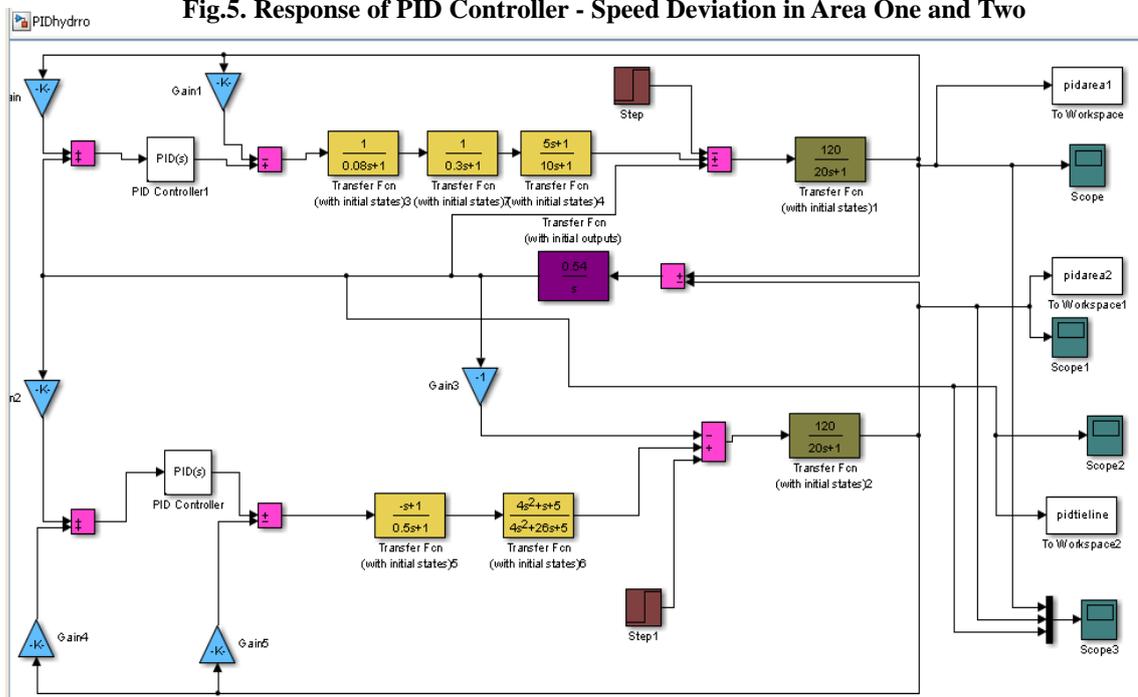
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**Fig.4. Response of PID Controller - Frequency Deviation in Area One and Two**



**Fig.5. Response of PID Controller - Speed Deviation in Area One and Two**



**Fig.6. MATLAB model for Thermal-hydro interconnected system**

These transfer equations described in this model has been investigated by other researchers as well. The role made by the authors in this work is the devise of a Neuro-fuzzy controller.

**IV. FUZZY AND NEURO-FUZZY CONTROLLERS**

Fuzzy is a problem solving algorithm used in control systems when the inputs are imprecise or when the mathematical model of the plant is not present. Ross TJ (1995) defined the fuzzy as the process of making crisp quantity into fuzzy variables. The fuzzy variables are uncertain, imprecise or vague and represented by the fuzzy membership function. The purpose of using NFC is to suppress the frequency oscillations in each individual area as well as the tie lines.

**V. DESIGN OF NEURO-FUZZY CONTROLLER (NFC)**

The use of Neuro-fuzzy is justified by the following points,  
1. Addition of new source of power like hydro or solar into the existing network configuration needs an adaptive and efficient controller.  
2. The basic Fuzzy logic controllers are based on the rules defined from the user experience. Further the tuning of membership functions are done by a trial and error process. In a Neuro-fuzzy system a neural network optimizes the fuzzy membership functions based on the set of input/output data.

**A. Clustering Algorithm for controller**

In order to start the modeling process, an initial fuzzy model has to be derived this initial fuzzy model can be selected based on the fuzzy rules framed using clustering technique. The clustering technique called as subtractive clustering is used in this work. For a set of n data points {a1, a2 ...an} in an M dimensional space these data points are normalized in the range 0 to 1. Initially each data point is considered to be a potential cluster center and Fi is a measure of the potential of the data point Xi to serve as a center, which is shown in Eq. (4)

$$F_i = \sum_{k=1}^n \sum_{x_i \in C_k} c_k U_{ik} (\gamma)^2 \dots\dots\dots(4)$$

and  $\gamma = \| X_i - X_k \|$  is the Euclidean distance,

$$C_j = \frac{\sum_{x \in C_j} U_{ik} * X}{\sum_{x \in C_j} U_{ik}}$$

Here the degree of belonging, U<sub>ik</sub> is linked inversely to the distance from x to the cluster center.

$$U_{ik} = \left[ \frac{1}{\sum_{i=1}^k \frac{1}{(\|x_i - C_j\|)^m}} \right]^{\frac{2}{m-1}} \dots\dots\dots(5)$$

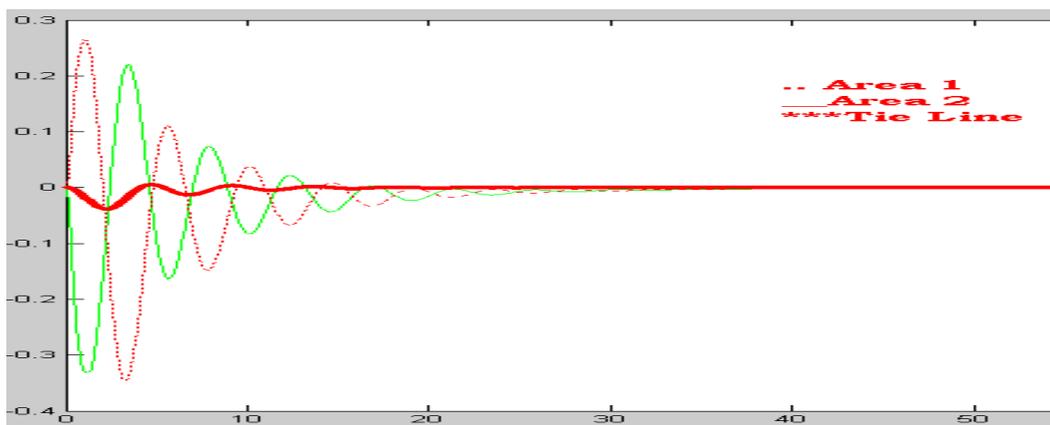
Where,  
m..... fuzzifier (between 1 and ∞),  
U<sub>ik</sub>.....degree to which an observation,  
X<sub>k</sub> ..... center of cluster k.

The cluster center derived using the above equation describes the input-output relationship of the fuzzy system being modeled.

**Table-1: Parameters of the Neuro-fuzzy Controller**

Parameter Name	Value
Maximum Number of Epochs	100
Error tolerance	0.001
Shape of the Membership Function (MF)	Gaussian MF
Training Algorithm	Back Propagation

Procedure to implement adaptive neural Fuzzy inference start with the collection of data set for training, the flow diagram of the entire process is given in Fig. 8. Herein the two area system with PID controller model was used to collect the training set. The neural controller adjusts the membership functions in the inference system to match the target value.



**Fig. 7. Response of Two Area with Thermal and Hydro units with PID controller.**

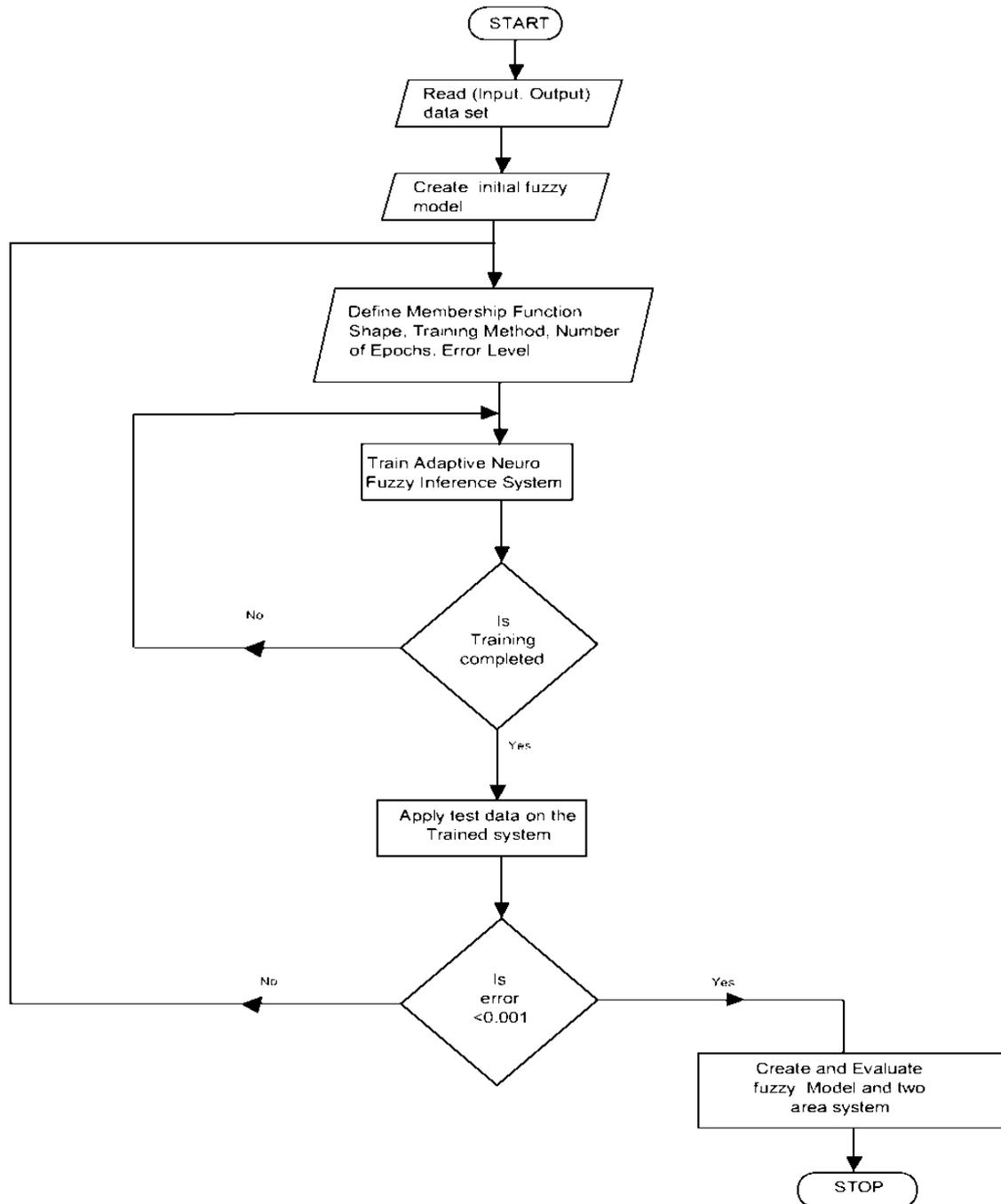


Fig. 8.

Implementation of Neuro-fuzzy

B. Performance of Neuro-fuzzy Controller

In 1970 the adaptive networks were based on the gradient descent [6] and the chain rule for learning, subsequently, these methods have been replaced by the neural based learning algorithms in this case the adaptive network is a feed forward, multi-layer neural network, contain fixed and adaptive nodes. The term Neuro-fuzzy in this article refers to the neural Network performing the adaptation task. The procedure of training is terminated when the error value is less that the error tolerance (0.001). Adaptive Network Based Fuzzy Inference System (ANBFIS) helps in constructing the fuzzy IF-Then rules with suitable membership functions. The type of training and other parameter used in creating the network are listed in table 1. The performance of the ANBFIS for an external disturbance of 0.01 is displayed in Fig. 8.

The trained network was tested ( Fig. 9) using about 25% of

the training data, perhaps even the entire training set can be used to validate the network.

C. Implementation of Neuro-Fuzzy Controller

The flow sequences to design a Fuzzy Logic Sliding mode controller as shown in Fig. 8 are as follows,

1. Selection the input and output variables; the error and the change in error. These may be recorded from experiments or simulated from a PID controller
2. Selecting proper initial Membership Functions to represent fuzzy input and output variables,



3. Apply Fuzzification on the crisp input variable,
  4. Use the ANFIS tool to perform training and develop Fuzzy Inference system,
  5. Apply the designed fuzzy into the actual Load Frequency Controller Model (the \*.fis file).
  6. The actual output of the FLC controller is studied under disturbance. For the presence of error more than 0.001 the ANFIS learning rate may be altered.
- In this work the hybrid learning rule was used to identify the parameters of the adaptive network. The algorithm is

implemented in two phases: in the forward pass the least squares method is used to evaluate the consequent parameters and in the backward pass the gradient descent is used find the parameters.

The membership function evaluation was done using the expression,

$$\mu_A(x) = \frac{1}{1 + \left[\frac{x-c1}{a1}\right]^{2b1}} \dots\dots\dots(7)$$

Where,  
 a1, b1, c1 ..... adjustable parameters.

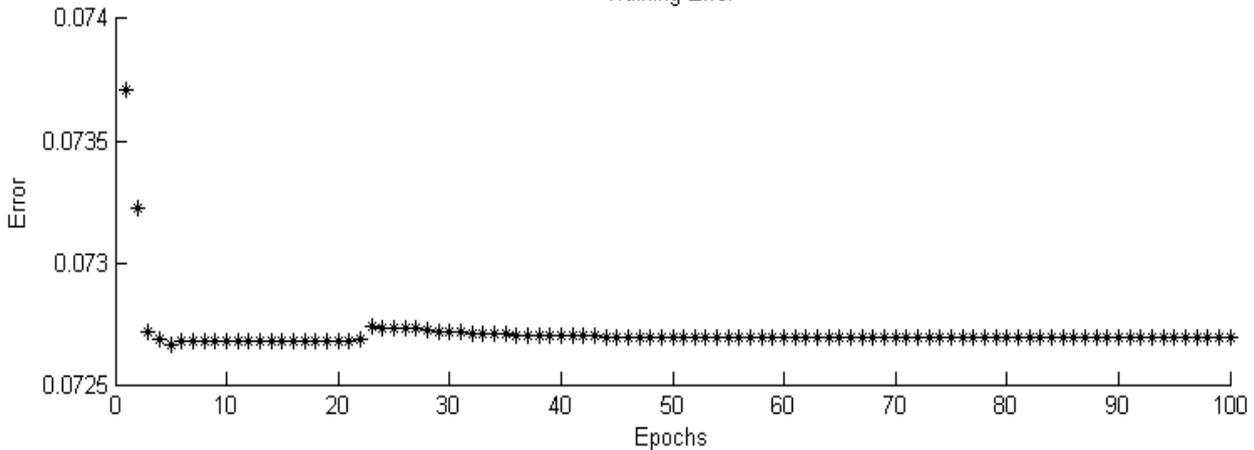


Fig. 9. Training performance of Neuro-Fuzzy

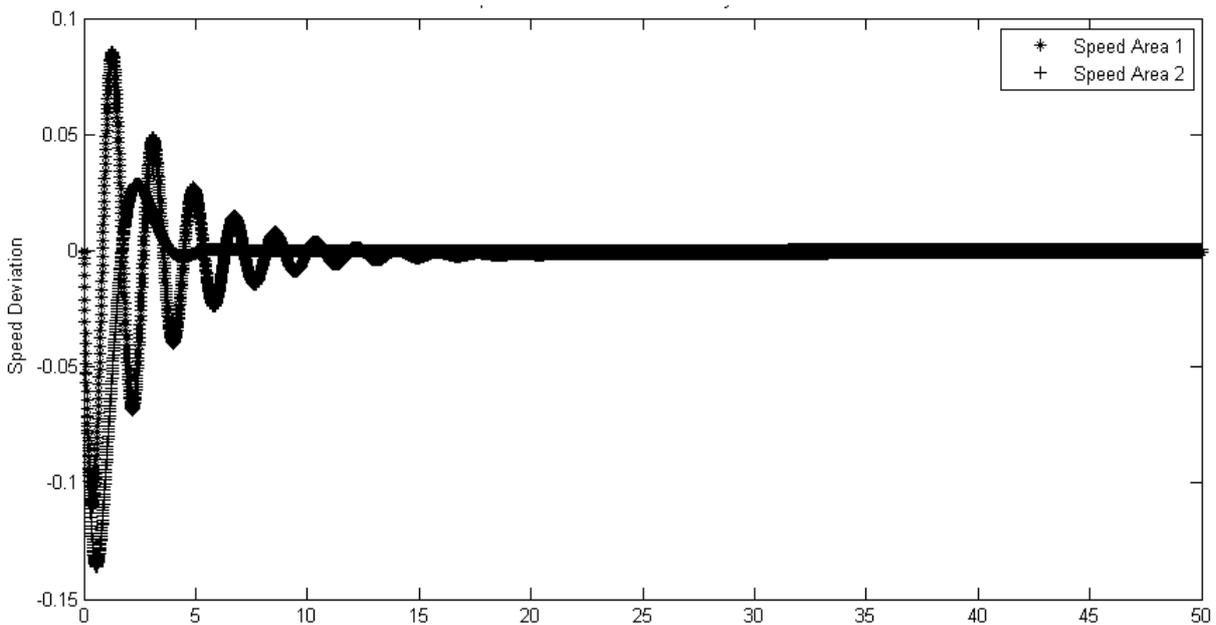


Fig.10.Adaptive Neuro-Fuzzy Controller – Frequency Deviation in a Two Thermal area system

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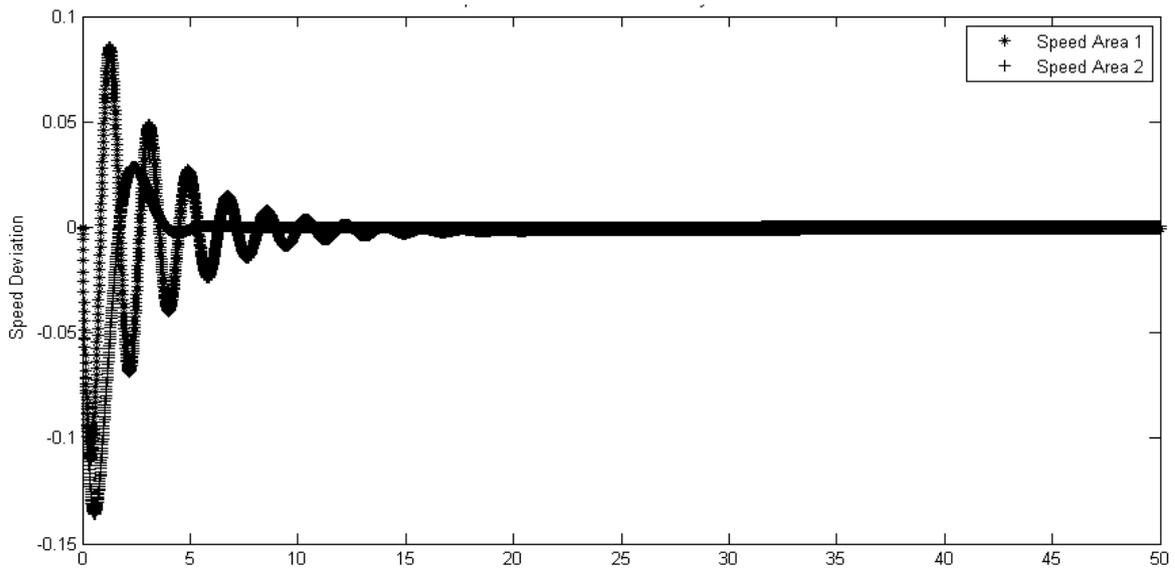


Fig.11. Adaptive Neuro-Fuzzy Controller – Speed Deviation in a Two Thermal area system

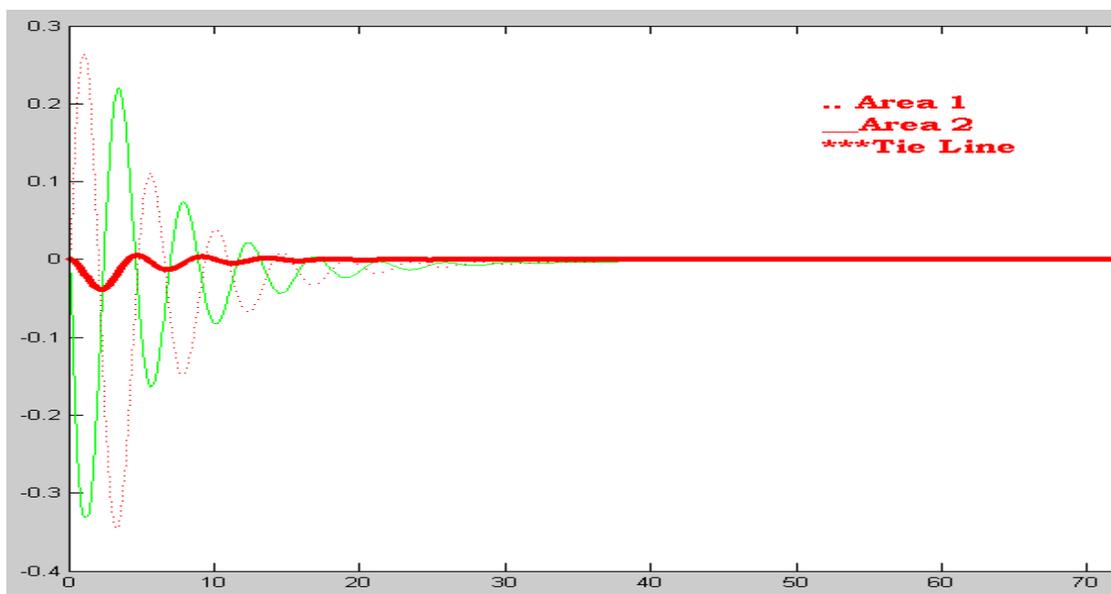


Fig. 12. Adaptive Neuro-Fuzzy Controller Based LFC - Frequency Deviation with Hydro and Thermal Units

Table 2 Performance of Different Speed Controllers

Type of Controller	Peak Overshoot Area 1	Settling Time Area 1 (Sec)	Peak Overshoot Area 2	Settling Time Area 2 (Sec)	Peak Overshoot Tie Line	Settling Time Tie Line (Sec)
PID Only Thermal	6 %	15	5%	15	6%	20
Neuro-Fuzzy Only Thermal	3%	7	3%	7	3%	10
PID based Hydro thermal	25%	22	15%	20	8%	30
Neuro-Fuzzy based Hydro Thermal	20%	20	12%	20	7%	20

## VI. CONCLUSIONS

The performance of speed and Frequency controllers like PID and Neuro-Fuzzy were investigated in this work. Among these, the performance of Neuro-Fuzzy Controller developed using clustering approach was found to provide better response with reduced settling time (as in Table 2). In fuzzy clustering, data points can potentially belong to multiple clusters. The settling time for the PID controller was 20 seconds and that of Neuro-fuzzy Controller was found to be less than 10 seconds. The peak overshoot for the PID controller was 6 % and that of Neuro-fuzzy controller was found to be 3% (as in Fig.10 & 11). Similarly, for the hydro-thermal interconnected system the overshoot of 8% on the tie-line was reduced to 7% when using the Neuro-fuzzy Controller as shown in Fig. 12.

The Neuro-Fuzzy controller needs only the limits (upper and lower bound) of the unknown parameter. The exact mathematical model of the plant is not needed; hence the system can endure parameter uncertainties. As presented, the rule base obtained for the Neuro-Fuzzy Controller can be applied to a real plant due to its simple structure. Further the projected method can be extended to real time implementation using the Real Time Workshop features present in current release of MATLAB/SIMULINK. The proposed controller is adaptive in nature hence can be extended to four area systems also.

## APPENDIX A

The various parameters used in the simulation are below,  
 $F = 50 \text{ HZ}$ ,  $R_1 = R_2 = 2.4 \text{ HZ/per unit MW}$ ,  $T_g = 0.08 \text{ Sec}$ ,  $T_p = 20 \text{ Sec}$ ,  $P_{tie \text{ Max}} = 200 \text{ MW}$ ,  $T_r = 10 \text{ Sec}$ ,  $K_r = 0.5$ ,  $P_{r1} = P_{r2} = 2000 \text{ MW}$ ,  $T_i = 0.3 \text{ Sec}$ .

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