

# Design of Squirrel-Cage Self-Excited 3-phase Induction Generator



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**Abstract** Due to the wide utilization of a 3-phase, squirrel-cage, self-excitation generator in renewable energy and isolated areas application, the paper deals with detailed design procedure of this type of generator, in a sequence and systematic manner. The design steps are obtained and executed in a Matlab file of the computer program to suit the newly designed constructions and parameters of the generator. In the new construction, the generator can be operated in a stable and saturation region. Due to the similarity in the construction of induction motor and generator, the formulas used in the calculation of equivalent circuit components of an induction motor may be utilized to calculate the parameters of the induction generator. To obtain optimized induction generator parameters and construction dimensions an ant colony algorithm is used to optimize these construction dimensions and generator parameters. The main objective parameters used in this algorithm are the generator efficiency, the excitation-capacitance, winding temperature rise, and minimum generator size is for 5 HP, 400 V, 50 Hz, and star connection generator.

**Keywords:** Squirrel-Cage Induction Generator, Design Steps, Generator Parameters, Ant Colony Optimization.

## I INTRODUCTION

In recent years, the increasing demand for renewable energy has caused great interest in the development and utilization of wind and micro-hydro-electric power plants. Many papers showed the importance of wind and micro-hydro induction generators' performance analysis, modeling, protection, and control [1-5]. However, there are fewer publications that proposed the design and construction of these types of generators to develop an effective machine for electricity generation, especially in isolated areas and stand-alone generators [6-10]. Two types of secondary windings of the rotor in the induction generator are slip-ring wound rotor and squirrel-cage rotor. Squirrel-cage-type generators are used widely in isolated area applications. The major disadvantages of this type of generator are the low efficiency, high volume size, and low power factor. With a change in the design of the magnetic circuits of this type of generator, the performance can be improved.

These types of generators are used more than the other type of generators due to the ease of operation, construction, maintenance, and low noise. The design of the induction generator for desired and suitable performance must be not restricted by stator and rotor structure. The induction machine is operated as a magnetic circuit and it will be influenced by the magnetic saturation. However, the operation of the squirrel-cage self-excited induction generator becomes stable when the generator works in the saturation region. Then, the best step to optimize the design of an induction generator is to design a new machine that can carry the saturated magnetizing current and handle the great terminal voltage. The similarity in the construction of induction motor and generator makes it possible to use the design steps of induction motor for the procedure of induction generator. The mathematical formulas and empirical equations used to calculate the equivalent circuit components of the induction motor can be used to calculate the components of the induction generator equivalent circuit. In this paper, a detailed design procedure of squirrel-cage, self-excited induction generator is presented systematically with a design algorithm flow chart, by modifying the classical method of induction motor design. Recently, to solve the optimization requirements an ant colony algorithm has been suggested, which is derived from the foraging behavior of ants to optimize the designed parameters of induction machines [11-13]. In this paper, the ant colony optimization algorithm-based method is used for the optimal design of a squirrel-cage self-excited induction generator. In this method, optimal values of design parameters are determined to maximize the generator efficiency and to minimize the generator excitation capacitance, stator winding temperature, and generator volume.

## II DESIGN STEPS

The design procedure of the squirrel-cage, self-excited induction generator involves several steps. The sequence of the design steps can be summarized in a flowchart as shown in figure (1). These steps can be executed as follow:

1- The first step in the design of a squirrel-cage, self-excited induction generator is to define the fixed input specifications data of the generator. For example, the generator has 5 HP output power, 400 V line voltage, 50 Hz, 3-phase supply, and Y-connection type. These data can be states in table (1).

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# Design of Squirrel-Cage Self-Excited 3-phase Induction Generator

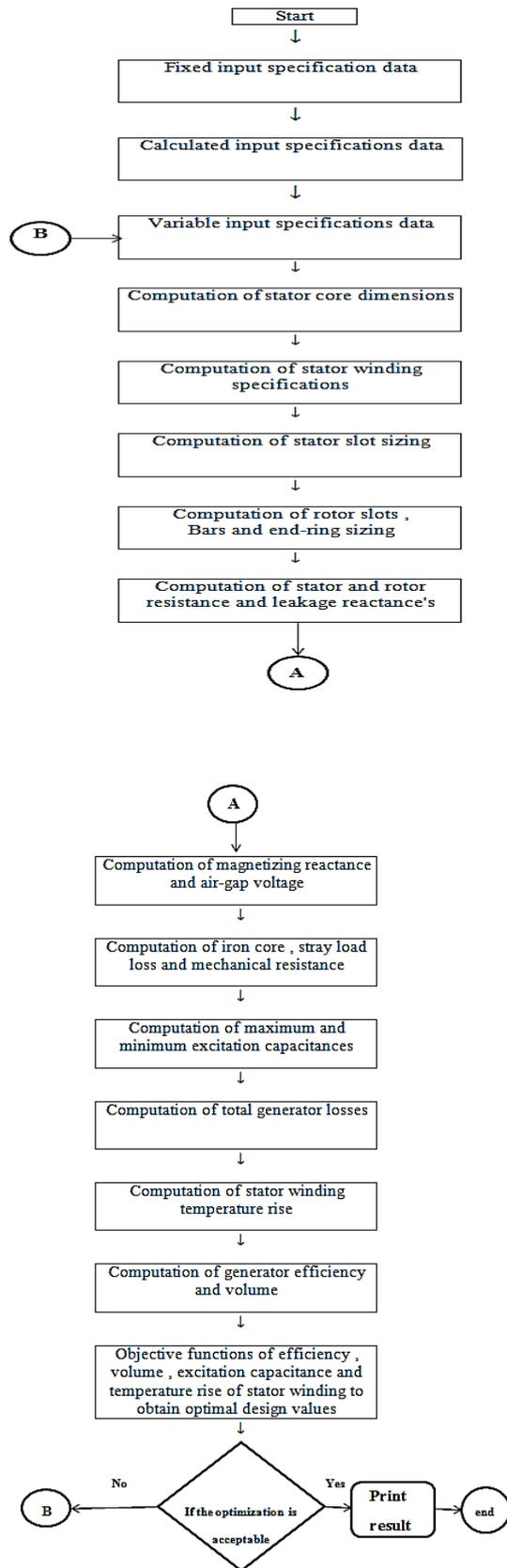


Fig. 1. Flowchart of the design procedure

Table- 1: fixed input specifications

Input parameters of the designed generator	Symbol	Value
Output power	$P_{out}$	5 HP
Phase voltage	$V_{ph}$	230 V

Number of phases	$m$	3
Supply frequency	$f_s$	50 Hz
Stator-connection	$Y$	—
Number of magnetic poles	$2p$	4
Power factor	$\cos(\phi)$	0.9
Speed of operation	$N_r$	1525 r.p.m
Synchronous speed	$N_s$	1500 r.p.m
Number of parallel paths	$a_p$	2
Type of stator winding	layer	1
Efficiency	$\eta\%$	90
Ambient temperature	$T_a$	25 °C
The form factor of the sine-wave	$K_{ff}$	1.1
Stator to rotor mmf factor	$K_{mm}$	0.9
Mechanical machining factor	$K_{cy}$	1.7
Saturation factor	$K_{st}$	0.5
Number of fins in the outer frame	$K_{fins}$	2.0
Carter coefficient	$K_{ca}$	1.25
Iron lamination thickness factor	$K_{fe}$	0.96
Stator winding factor	$K_{ws}$	0.93
Stator slot filling factor	$K_{fil}$	0.4
Stator tooth flux density	$K_{ts}$	1.6 wb/m <sup>2</sup>
Stator core flux density	$K_{cs}$	1.6 wb/m <sup>2</sup>
Rotor tooth flux density	$K_{tr}$	1.6 wb/m <sup>2</sup>
Rotor core flux density	$K_{cr}$	1.6 wb/m <sup>2</sup>
Air-gap flux density	$B_g$	1.65 wb/m <sup>2</sup> from the table (A-1)
Fixed input parameter of the designed generator	symbol	Value
Minimum stator slot pitch	$\tau_{ssmin}$	7.5 mm
Maximum stator slot pitch	$\tau_{ssmax}$	15 mm
Number of slots / pole / phase	$q_s$	3
Permeability of copper and aluminum	$\mu_o$	$4\pi * 10^{-7}$ H/m
Copper resistivity	$\rho_{cuo}$	$1.7 * 10^{-8}$ ( $\Omega.m$ )
Aluminum resistivity	$\rho_{alo}$	$2.8 * 10^{-8}$ ( $\Omega.m$ )
Thermal temperature coefficient of copper	$\alpha_{cu}$	1/259
Thermal temperature coefficient of aluminum	$\alpha_{al}$	1/250
The form factor of magnetic flux	$\Phi_{ff}$	0.75
Temperature conductivity factor	$\alpha_{cond}$	833
Temperature convection factor	$\alpha_{conv}$	50
Iron material density	$\gamma_{id}$	7800 kg/m <sup>3</sup>
Specific iron loss	$P_{its}$	2.5 watt/ kg
Stator slot mouth width	bos	3 mm
Stator slot mouth height	hos	2 mm
Rotor slot mouth width	bor	3 mm
Rotor slot mouth height	hor	1 mm
Stator slot height between slot mouth height and slot first width.	hw	3 mm

2- The calculated input specifications data with their calculation formula as shown in table (2).



**Table- 2: calculated input specifications data**

The calculated input parameter	symbol	Calculation formula
Machine rated slip	$s_r$	$s_r = (N_s - N_r)/N_s$
Machine synchronous speed	$n_s$	$n_s = 2 * f_s / (2P) \quad (r.p.s)$
Stator angular frequency	$w_s$	$w_s = 2 * \pi * f_s \quad \text{rad./sec.}$
line-to-line voltage	$V_{\ell\ell}$	$V_{\ell\ell} = \sqrt{3} * V_{ph} \quad (\text{Volts})$
Induced e.m.f coefficient	$K_e$	$K_e = 0.98 - 0.005 * P$

3- The variable input parameters as shown in table (3). These parameters can be changed in values by the computer program to obtain the optimal design.

**Table- 3: variable input specifications data**

Variable input parameters	symbol	Value
Volume controlling ratio = $\frac{\text{stator stacklength}}{\text{pole pitch}}$	$\lambda_{vc}$	1.1 from the table (A-2)
Inner to outer stator core diameter ratio	$K_{ds}$	0.62 from the table (A-3)
Stator conductor current density	$J_{co}$	$4 * 10^6 \text{ A/m}^2$ from the table (A-4)
Rotor bar current density	$J_b$	$4 * 10^6 \text{ A/m}^2$
Rotor end-ring current density	$J_{er}$	$5 * 10^6 \text{ A/m}^2$
Specific electrical loading	$A_c$	25000 (A/m)
Specific stator slot loading	$A_{ss}$	500 (A. conductor)

4- Calculation of stator core dimensions as shown in table (4). This table shows the related parameters to the stator core dimensions and their related calculated formulas.

**Table- 4: stator core dimensions and their related formulas**

Parameter	symbol	Related formula
Apparent generator power	$S_g$	$S_g = P_{out} / (\eta * \cos\phi) \quad (\text{VA})$
Generator volume utilization factor or output coefficient	$C_o$	$C_o = K_{ff} * \phi_{ff} * K_{ws} * \pi * B_g * A_c \dots \dots \text{Joule/m}^3$
Stator bore diameter	$D_{bs}$	$D_{bs} = [2P * S_g / (C_o * \pi * \lambda_{vc} * n_s)]^{\frac{1}{3}} \dots \dots (\text{mm})$
Stator outer diameter	$D_{os}$	$D_{os} = D_{bs} / K_{ds} \quad (\text{mm})$
Stator stack length	$\ell_{ss}$	$\ell_{ss} = (\pi * \lambda_{vc} * D_{bs}) / 2P \quad (\text{mm})$
Stator pole-pitch	$\tau_p$	$\tau_p = (\pi * D_{bs}) / 2P \quad (\text{mm})$
Maximum stator slot number	$Q_{smax}$	$Q_{smax} = \pi * D_{bs} / \tau_{ssmin} \quad (\text{mm})$
Minimum stator slot number	$Q_{smin}$	$Q_{smin} = \pi * D_{bs} / \tau_{ssmax} \quad (\text{mm})$
The suitable number of stator slots	$Q_s$	From table (A-5)
Stator slot pitch	$\tau_{ss}$	$\tau_{ss} = \pi * D_{bs} / Q_s \quad (\text{mm})$
Effective air-gap length	$g_e$	$g_e = 0.2 + 2 * [D_{bs} * \ell_{ss} * 10^{-6}]^{\frac{1}{2}} \quad (\text{mm})$

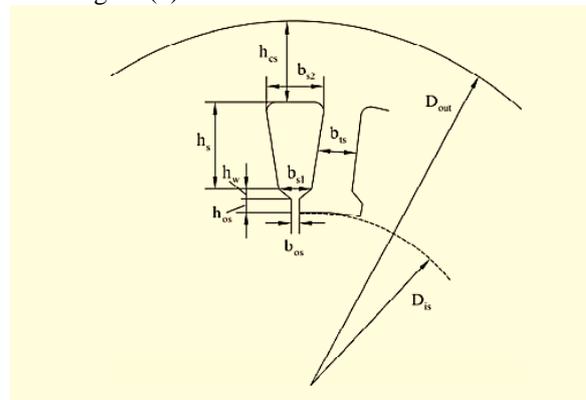
5- Stator winding calculation, as shown in table (5).

This table shows the parameters related to the stator winding and their calculated formulas.

**Table- 5: stator winding calculations**

Parameters	Symbol	Related formula
Stator flux per pole	$\Phi_p$	$\Phi_p = \phi_{ff} * \tau_p * \ell_{ss} * B_g \quad (\text{weber})$
Stator turns per phase	$N_{ph}$	$N_{ph} = V_{ph} / (4 * K_e * K_{ff} * F_s * \Phi_p)$
Stator conductors per slot	$S_{sc}$	$S_{sc} = 2 * m * a_p * N_{ph} / Q_s$
Stator load line current	$I_{\ell\ell}$	$I_{\ell\ell} = P_{out} / (\eta * \sqrt{3} * V_{\ell\ell} * \cos\phi) \quad (\text{A})$
Stator load phase current	$I_{ph\ell}$	$I_{ph\ell} = I_{\ell\ell} \quad (\text{A})$
Generator reactive power	$Q_{gr}$	$Q_{gr} = S_g * \sin(\phi) \quad (\text{VAR})$
Stator self-excitation capacitive reactance	$X_{cph}$	$X_{cph} = 3 * V_{ph}^2 / Q_{gr} \quad (\Omega)$
Stator excitation current	$I_{cph}$	$I_{cph} = V_{ph} / X_{cph} \quad (\text{A})$
Stator phase current	$I_{sph}$	$I_{sph} = [I_{ph\ell}^2 + I_{cph}^2]^{\frac{1}{2}} \quad (\text{A})$
Stator specific slot loading	$A_{ss}$	$A_{ss} = S_{sc} * I_{sph} \dots (\text{A.cond.})$
Stator winding conductor cross-sectional area	$A_{co}$	$A_{co} = I_{sph} / J_{co} \quad (\text{mm}^2)$
Stator winding conductor diameter	$D_{co}$	$D_{co} = 4 * A_{co} / \pi \quad (\text{mm})$

6- Stator slot sizing calculations as shown in table (6). This table shows the related parameters to the stator slots and their formulas of calculation. The stator slot structure is shown in figure (2).



**Fig. 2. Stator slot structure**

**Table- 6: Stator slot sizing calculations**

Parameter	Symbol	Related calculation formula
Stator useful slot area	$A_{use}$	$A_{use} = A_{co} * S_{sc} / K_{fil} \quad (\text{mm}^2)$ $K_{fil}$ can be obtained from the table (A-6)
Stator tooth width	$b_{ts}$	$b_{ts} = B_g * \tau_{ss} / (K_{ts} * K_{fe}) \quad (\text{mm})$

Stator slot width (1)	$b_{s1}$	$b_{s1} = [\pi * (D_{bs} + 2 * h_{os} + 2 * h_w) / Q_s] - b_{ts}$ ..... (mm)
Stator slot width (2)	$b_{s2}$	$b_{s2} = [4 * A_{use} * \tan(\pi / Q_s + b_{s1}^2)]^{1/2}$ (mm)
Stator useful slot height	$h_{ss}$	$h_{ss} = 2 * A_{use} / b_{s1} + b_{s2}$ (mm)
Stator back iron core height	$h_{cs}$	$h_{cs} = [D_{oc} - \{D_{bs} + 2 * (h_{os} + h_w + h_{ss})\}] / 2$ ..... (mm)

7- Calculation of rotor slots, bars, and end-ring sizing as shown in table (7).

This table shows the related formulas for the calculation of rotor slots, bars, and end-ring sizing. The rotor slot geometry is shown in figure (3).

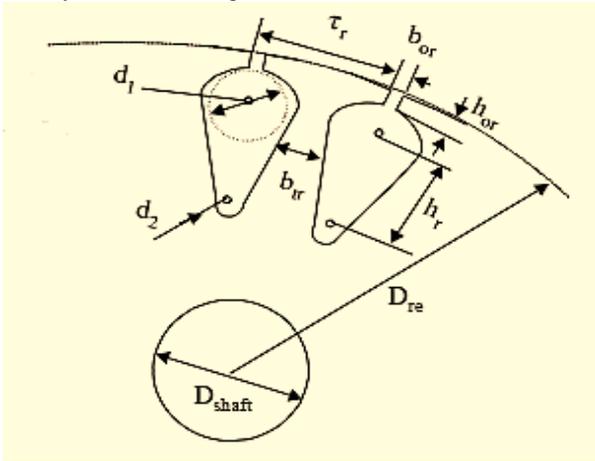


Fig. 3. Rotor slot structure

Table- 7: Rotor slots, bars, and end-ring sizing

Parameter	Symbol	Related calculation formula
Number of rotor slots	$Q_r$	From table (A-5)
Rotor current per phase referred to stator	$\hat{I}_{rph}$	$\hat{I}_{rph} = I_{sph} / K_{mm}$ (mm)
Rotor bar current	$I_b$	$I_b = 2 * m * N_{ph} * K_{ws} * \hat{I}_{rph} / Q_r$ (A)
Rotor slot area or rotor bar area	$A_b$	$A_b = I_b / J_b$ (mm <sup>2</sup> )
Rotor end-ring current	$I_{er}$	$I_{er} = I_b / (2 * \sin(\pi * P / Q_r))$ (A)
Rotor end-ring cross-sectional area	$A_{er}$	$A_{er} = I_{er} / J_{er}$ (mm <sup>2</sup> )
Rotor outer diameter	$D_{or}$	$D_{or} = (D_{bs} - 2 * g_e)$ (mm)
Rotor slot pitch	$\tau_{sr}$	$\tau_{sr} = \pi * (D_{bs} - 2 * g_e) / Q_r$ (mm)
Rotor tooth width	$b_{tr}$	$b_{tr} = B_g * \tau_{sr} / (K_{fe} * K_{tr})$ (mm)
Rotor slot width	$b_{sr}$	$b_{sr} = (\tau_{sr} - b_{tr})$ (mm)
Rotor core height (core back iron)	$h_{cr}$	$h_{cr} = \Phi_p / (2 * \ell_{ss} * K_{cr})$ (mm)
Upper diameter of rotor slot geometry	$d_1$	$d_1 =$

		$[\pi * (D_{or} - 2 * h_{or}) - Q_r * b_{tr}] / (\pi + Q_r)$ (mm)
Lower diameter of rotor slot geometry ( $d_2$ must be less than $d_1$ )	$d_2$	$d_2 =$ $\left\{ \left( \begin{array}{c} 32 * \\ A_b * \\ \tan(\pi / Q_r) \\ - \\ 8 + \\ \left( \begin{array}{c} 4 * \\ \pi * \\ \tan(\pi / Q_r) \end{array} \right) \\ * d_1^2 \end{array} \right) \right\} / \left( \begin{array}{c} 4 * \\ \pi * \\ -8 \end{array} \right) \tan(\pi / Q_r)$ .....(mm)
Rotor slot depth	$h_{sr}$	$h_{sr} = (d_1 - d_2) / 2 * \tan(\pi / Q_r)$ (mm)
Rotor end-ring height (radial thickness)	$b$	$b = 1.1 * (h_{sr} + h_{or} + (d_1 + d_2) / 2)$ (mm)
Rotor end-ring width (axial thickness)	$a$	$a = A_{er} / b$ (mm)
Rotor shaft diameter	$D_{sh}$	$D_{sh} = [D_{or} - 2 * (h_{or} + \frac{(d_1 + d_2)}{2} + h_{sr} + h_{cr})]$ (mm)

8- Calculation of stator resistance per phase as shown in table (8). This table shows all the parameters related to the calculation of stator resistance and their formulas.

Table- 8: calculation of stator resistance

Parameter	Symbol	Related calculation formula
Number of stator slots per pole	$P_s$	$P_s = Q_s / (2P)$
Chording factor	$K_{ch}$	$K_{ch} = \cos(\pi / (2 * P_s))$
Coil span	$y_{sc}$	$y_{sc} = \tau_p * K_{ch}$ (mm)
Length of end-turn from the table (A-7)	$\ell_{et}$	$\ell_{et} = (y_{sc} + 20 * 10^{-3})$ (mm)
Length of one turn	$\ell_t$	$\ell_t = 2 * (\ell_{ss} + \ell_{et})$ (mm)
Stator resistance per phase	$R_s$	$R_s = \rho_{cuo} * \ell_t * N_{ph} / (A_{co} * a_p)$ ( $\Omega$ )

9- Calculation of rotor resistance per phase referred to stator side as shown in table (9). This table shows all the parameters related to the calculation of rotor phase resistance and their formulas.

Table- 9: calculation of rotor phase resistance

Parameter	Symbol	Related calculation formula
Length of end-ring per rotor slot	$\ell_{ers}$	$\ell_{ers} = \pi * (D_{or} - 2 * h_{sr} - b) / Q_r$ (mm)
Skin effect factor	$K_r$	$K_r = h_{sr} * [2 * \pi * f_r * \mu_o / (2 * \rho_{alo})]^{1/2}$ Where $f_r = S. f_s$ rotor voltage frequency
Skew effect factor	$K_{sq}$	$K_{sq} = \sin(\pi * P / Q_r) / (\pi * P / Q_r)$
Rotor resistance per phase	$R_r$	$R_r = \{ \rho_{cuo} * \ell_{ss} * K_r / A_b \} + \{ \rho_{alo} * \ell_{ers} / (2 * A_{er} * \sin^2(\pi P / Q_r)) \}$
Rotor resistance per phase referred to the stator side	$\hat{R}_r$	$\hat{R}_r = [4 * m * (N_{ph} * K_{ws})^2 / (Q_r * K_{sq}^2)] * R_r$

10- Calculation of stator leakage reactance per phase as shown in table (10).

This table shows the parameters calculation steps with their formulas, which are used to calculate the stator leakage reactance.

**Table- 10: calculation of stator leakage reactance**

Parameter	Symbol	Related calculation formula
Stator slot permeance	$\lambda_s$	$\lambda_s = \left[ \left( 2 * h_{ss} / \left( \left( b_{s1}^3 + \right) \right) \right) + (2 * h_w / (b_{s1} + b_{os})) + (h_{os} / b_{os}) \right] * (1 + 3 * K_{ch}) / 4$
Differential permeance of stator slot	$\lambda_{ds}$	$\lambda_{ds} = [(0.9 * \tau_{ss} * q_s^2 * K_{ws}^2 * C_s * Y_{ds}) / (K_{ca} * g_e * (1 + K_{st}))]$ Where $C_s = [1 - (0.033 * b_{os}^2 / (g_e * \tau_{ss}))]$ $Y_{ds}$ is a factor that can be obtained from the table (A-8). The parameter ( $\theta$ ) in the equation of ( $Y_{ds}$ ) can be calculated as : $\theta = \pi * (6 * K_{ch} - 5.5)$
Stator end-turn permeance	$\lambda_{et}$	$\lambda_{et} = 0.34 * q_s * \left( \frac{\ell_{et} - 0.64 * \tau_p}{K_{ch} * \tau_p} \right) / \ell_{ss}$
Stator leakage reactance per phase	$X_{\ell s}$	$X_{\ell s} = \left[ \frac{2 * \mu_o * \ell_{ss} * W_s * N_{ph}^2}{(\lambda_s + \lambda_{ds} + \lambda_{et})} \right] / (P * q_s) \quad (\Omega)$

11- Calculation of rotor leakage reactance per phase as shown in table (11). The table involves all the parameters related to the rotor leakage reactance calculation with their formulas.

**Table- 11: calculation of rotor leakage reactance**

Parameters	Symbol	Relate formula of calculation
Rotor slot permeance	$\lambda_r$	$\lambda_r = [0.66 + (2 * h_{sr} / (3 * (d_1 + d_2))) + h_{or} / b_{or}]$
Rotor slot differential permeance	$\lambda_{dr}$	$\lambda_{dr} = 0.9 * \tau_{sr} * Y_{dr} * Q_r^2 / (K_{ca} * g_e (6 * P)^2)$ Where $Y_{dr} = 9 * (6 * P / Q_r)^2 * 10^{-2}$ $K_{ca} =$ can be obtained from the table (A-9).
Rotor end-ring permeance	$\lambda_{er}$	$\lambda_{er} = [2.3 * (D_{or} - b) (Q_r * \ell_{ss} * 4 * \sin^2(\pi * P / Q_r))] * \text{Log} [4.7 * (D_{or} - b) / (b + 2 * a)]$
Skin-effect factor	$K_x$	$K_x = \frac{2 * h_{sr} * 3}{\left\{ W_s * \mu_o / (2 * \rho_{at\sigma}) \right\}^{1/2}} * 10^{-3}$
Rotor leakage reactance per phase	$X_{\ell r}$	$X_{\ell r} = W_s * \mu_o * \ell_{ss} * (\lambda_r * K_x + \lambda_{dr} + \lambda_{er}) \quad (\Omega)$
Rotor leakage reactance per phase referred to the stator side	$\hat{X}_{\ell r}$	$\hat{X}_{\ell r} = \left[ 4 * m * (N_{ph} * K_{ws})^2 / (Q_r * K_{sq}^2) \right] * X_{\ell r} \quad (\Omega)$

12- Calculation of magnetizing reactance per phase and the corrected rotor leakage reactance due to the skew effect as shown in table (12).

**Table- 12: calculation of magnetizing reactance and the corrected rotor leakage reactance**

Parameters	Symbol	Related calculation formula
Magnetizing reactance per phase	$X_m$	$X_m = W_s * K_{st} * \mu_o * m * \tau_p * \ell_{ss} * (N_{ph} * K_{ws})^2 / (\pi * P * g_e) \quad (\Omega)$
Rotor leakage reactance due to skew-effect	$\hat{X}_{\ell rsq}$	$\hat{X}_{\ell rsq} = X_m * (1 - K_{sq}^2) \quad (\Omega)$
Total rotor leakage reactance per phase	$\hat{X}_{\ell rt}$	$\hat{X}_{\ell rt} = (\hat{X}_{\ell r} + \hat{X}_{\ell rsq}) \quad (\Omega)$

13- Calculation of magnetizing voltage and no-load current per phase as shown in table (13).

**Table- 13: calculation of magnetizing voltage and no-load current**

Parameters	Symbol	Related calculation formula
Magnetizing or air-gap phase voltage	$V_g$	$V_g = V_{ph} + I_{sph} * [R_s^2 + X_{\ell s}^2]^{1/2} \quad (\text{V})$
No-load current / phase	$I_o$	$I_o = [I_r^2 - I_{sph}^2]^{1/2} \quad (\text{A})$
Rotor phase current	$\hat{I}_{rph}$	$\hat{I}_{rph} = V_g / \hat{Z}_r \quad (\text{A})$
Magnetizing current per phase	$I_m$	$I_m = V_g / X_m \quad (\text{A})$

14- Calculation of maximum and minimum excitation capacitance of the generator as shown in table (14). The maximum and minimum capacitance per phase can be calculated from the equivalent circuit of the generator.

**Table- 14: maximum and minimum excitation capacitance per phase**

Parameters	Symbol	Related calculation formula
Stator load resistance [5]	$R_{s\ell\ell}$	$R_{s\ell\ell} = R_s * X_{\ell s}^2 / [R_s^2 + X_{\ell s}^2] \quad (\Omega)$
Slip at maximum torque	$S_{max}$	$S_{max} = \hat{R}_r / \left[ (R_s + R_{s\ell\ell})^2 + (X_{\ell s} + \hat{X}_{\ell r})^2 \right]^{1/2}$
Minimum rotor speed	$W_{rmin}$	$W_{rmin} = W_s * (1 - S_{max}) \quad \frac{\text{ele.rad}}{\text{sec}}$
Maximum rotor speed	$W_{rmax}$	$W_{rmax} = W_s * (1 + S_{max}) \quad \frac{\text{ele.rad}}{\text{sec}}$
Maximum excitation capacitance per phase	$C_{emax}$	$C_{emax} = 1 / (W_{rmin}^2 * (X_m + X_{\ell s}) / W_s) \quad (\mu\text{F})$
Minimum excitation capacitance per phase	$C_{emin}$	$C_{emin} = 1 / (W_{rmax}^2 * (X_m + X_{\ell s}) / W_s) \quad (\mu\text{F})$

15- Calculation of generator losses as shown in table (15). In this table, the details of losses calculation with their formulas are presented and then added to determine the total machine losses.

**Table- 15: Generator losses calculation**

Parameters	Symbol	Related calculation formula
Stator copper loss	$P_{cus}$	$P_{cus} = 3 * I_{sph}^2 * R_s \quad (\text{watt})$
Rotor copper loss	$P_{cur}$	$P_{cur} = 3 * \hat{I}_r^2 * \hat{R}_r \quad (\text{watt})$
Mechanical loss	$P_{mec}$	$P_{mec} = 0.012 * P_{out}$ from the table (A-10) (watt)
Iron core losses	$P_{ic}$	$P_{ic} = 3 * V_g * I_{ic} \quad (\text{watt})$ Where $I_{ic} = I_o * \cos\phi_o \quad (\text{A})$
Stray load losses	$P_{s\ell\ell}$	$P_{s\ell\ell} = 3 * I_{sph}^2 * R_{s\ell\ell} \quad (\text{watt})$
Total machine losses	$P_{t\ell}$	$P_{t\ell} = P_{cus} + P_{cur} + P_{mec} + P_{ic} + P_{s\ell\ell}$

16- Calculation of generator temperature rise as shown in table (16). The table shows the stator and rotor winding resistivity calculation in terms of winding temperature rise.

**Table- 16: temperature rise calculation of the stator winding**

Parameters	Symbol	Related calculation formula
Machine frame area	$A_{frame}$	$A_{frame} = \pi * D_{os} * (\ell_{ss} + \tau_p) * K_{fins} * 10^{-6}$ (m <sup>2</sup> )
Frame temperature	$T_{frame}$	$T_{frame} = P_{\ell\ell} / (\alpha_{conv} * A_{frame})$ (°C) where $\alpha_{conv} = 50$ from table (A-11)
Stator winding temperature	$T_{winding}$	$T_{winding} = T_{ambient} + T_{frame}$ (°C) $T_{ambient} = 25^{\circ}C$
The resistivity of the stator winding	$\rho_{cu}$	$\rho_{cu} = (1 + \alpha_{cu} * T_{winding}) * \rho_{cuo}$ (Ω.m)
The resistivity of rotor bars	$\rho_{al}$	$\rho_{al} = (1 + \alpha_{al} * (T_{winding} + 10)) * \rho_{alo}$ (Ω.m)

17- Calculation of optimized parameters of the generator as shown in table (17).The main parameters that are optimized in this design of the induction generator are efficiency, excitation-capacitance, stator winding temperature, and the generator volume.

**Table- 17: optimized parameters calculation**

parameters	Symbol	Related calculation formula
Generator efficiency	$\eta\%$	$\eta\% = [P_{out} / (P_{out} + P_{\ell\ell})] * 100$
Excitation capacitance	$C_{emin}$	$C_{emin} = 1 / [w_{rmax}^2 * (X_m + X_{\ell s}) / W_s]$
Stator winding temperature	$T_{winding}$	$T_{winding} = T_{ambient} + T_{frame}$
Generator volume	$Volume$	$Volume = (\pi * D_{os}^2 * \ell_{ss} / 4) * 10^{-3}$ (C <sub>m</sub> <sup>3</sup> )

### III ANT COLONY OPTIMIZATION

In this paper, for example, a 5-HP squirrel-cage, self-excited induction generator, 50 Hz and 400 V line-to-line is designed and optimized. The objective function is used for maximum efficiency, minimum excitation capacitance, minimum generator volume, and minimum temperature rise. The ant colony algorithm parameters are given as [12] :

$$F_{(x,w)} = W_1 * \frac{1}{[1+\eta]} + W_2 * C_{emin} + W_3 * volume + W_4 * T_{winding}$$

Where  $W_1, W_2, W_3$  and  $W_4$  are the weights that indicate the relative significance among the chosen objectives. These weight parameters can be set to one for activating the objective function. The multi-objective optimization problem can be suited by combining many objectives through weight parameters into a single objective to optimize the chosen objectives ( involves both minimizations and maximization ) simultaneously.

### IV RESULTS AND DISCUSSION

The ant colony optimization algorithm is used to obtain the optimal design parameters of the squirrel-cage, self-excited induction generator. The design equations in tables (2-17) are used to feed the computer program in a sequence and systematic arrangement of the design procedure. The software package is developed and executed in a Matlab file. The final machine design results are obtained by optimizing the objectives of efficiency, excitation capacitance, machine volume, and stator winding temperature rise. It is clear from tables (18-19), which are the results of the design, those better design parameters are obtained when the weights  $W_1 = W_2 = W_3 = W_4 = 1$  in the ant colony algorithm of optimization. Table (18) shows the results of all machine construction parameters, while

table (19) shows the optimal results of objective parameters. The algorithm offers maximum machine efficiency and minimum excitation capacitance, minimum size, and minimum stator winding temperature rise. In this case study, the machine efficiency is 88%, the minimum excitation capacitance per phase is  $25\mu F$ , the minimum volume of the machine is  $2260 C_m^3$  and the minimum stator winding temperature rise is  $50^{\circ}C$ .

**Table- 18: Design specifications of the generator construction parameters**

Generator construction parameters	Symbol	Dimension
Stator stack length/pole pitch	$\lambda_{vc}$	1.15
Inner to outer stator core diameter	$K_{ds}$	0.625
Stator conductor current density	$J_{co}$	$4.5 * 10^6$ A/m <sup>2</sup>
Rotor bar current density	$J_b$	$4.5 * 10^6$ A/m <sup>2</sup>
Rotor end-ring current density	$J_{er}$	$5.5 * 10^6$ A/m <sup>2</sup>
Specific electrical loading	$A_c$	23500 A/m
Specific stator slot loading	$A_{ss}$	450 A. conductor
Apparent generator power	$S_g$	4725 VA
Generator volume utilization factor	$C_o$	35900 Joule / m <sup>3</sup>
Stator outer diameter	$D_{os}$	57 mm
Stator stack length	$\ell_{ss}$	195 mm
Stator pole-pitch	$\tau_p$	44.5 mm
Stator slot number	$Q_s$	24
Effective air-gap length	$g_e$	1.12 mm
Stator flux per pole	$\phi_p$	0.013 weber
Stator turns per phase	$N_{ph}$	165
Stator phase current	$I_{sph}$	7.0 A
Stator winding conductor area	$A_{co}$	1.5 mm <sup>2</sup>
Stator winding conductor diameter	$D_{co}$	1.4 mm
Stator useful slot area	$A_{use}$	21.0 mm <sup>2</sup>
Stator tooth width	$b_{ts}$	3.0 mm
Stator slot width (1)	$b_{s1}$	4.5 mm
Stator slot width (2)	$b_2$	2.5 mm
Stator useful slot height	$h_{ss}$	15.0 mm
Stator back-iron core height	$h_{cs}$	16.0 mm
Rotor slot number	$Q_r$	22
Rotor phase current	$I_{rph}$	7.3 A
Rotor bar current	$I_b$	164 A
Rotor slot area	$A_b$	36.5 mm <sup>2</sup>
Rotor end-ring current	$I_{er}$	264 A
Rotor end-ring cross-sectional area	$A_{er}$	48 mm <sup>2</sup>
Rotor outer diameter	$D_{or}$	54.5 mm
Rotor slot pitch	$\tau_{sr}$	7.9 mm
Rotor tooth width	$b_{tr}$	3.0 mm
Rotor slot width	$b_{sr}$	4.9 mm
Rotor core height	$h_{cr}$	11.0 mm
Rotor upper slot diameter	$d_1$	4.6 mm
Rotor lower slot diameter	$d_2$	1.0 mm
Rotor slot depth	$h_{sr}$	7.5 mm
Rotor end-ring height	$b$	8.0 mm
Rotor end-ring width	$a$	6.5 mm
Rotor shaft diameter	$D_{sh}$	33.1 mm
Stator resistance per phase	$R_s$	3.1 Ω
Rotor resistance per phase	$R_r$	2.5 Ω
Stator leakage reactance per phase	$X_{\ell s}$	1.8 Ω
Rotor leakage reactance per phase	$X_{\ell r}$	0.85 Ω
Magnetizing reactance per phase	$X_m$	140 Ω
Magnetizing or air-gap voltage / phase	$V_g$	250 V
No-load current per phase	$I_o$	2.0 A
Magnetizing current per phase	$I_m$	1.8 A
Iron core current per phase	$I_{ic}$	0.9 A
Iron core resistance per phase	$R_{ic}$	280 Ω

Table- 19: objective design parameters of the generator

Parameters	Symbol	Dimension
Minimum excitation capacitance per phase	$C_{emin}$	25 $\mu F$
Stator winding temperature	$T_{winding}$	52 $^{\circ}C$
Generator volume	$Volume$	2270 $C_m^3$
Generator efficiency	$Efficiency$	88 %

V CONCLUSION

Design steps with their suitable theoretical and empirical formulas are executed in the computer program and Matlab file to obtain the structure dimensions and parameters of the 3-phase squirrel-cage self-excited induction generator. The design steps are summarized in sequence tables and systematic manner. The new design dimensions and parameters of the generator suit the generator operation in the stable and saturation region. The design results are optimized by an ant colony optimization algorithm. The objective parameters are used in this algorithm to obtain maximum generator efficiency and minimum excitation capacitance, minimum winding temperature rise, and minimum generator size. A generator of 5 HP, 400 V, 50 Hz, and Y-connection is used as an example of the design procedure.

APPENDIX [14]

Table- A-1: Air-gap flux density as a function of number of magnetic poles

Poles Number (2P)	2	4	6	8
Air-gap density (wb/m <sup>2</sup> )	0.5-0.75	0.65-0.78	0.7-0.82	0.75-0.85

Table- A-2: Volume control parameter ( $\lambda_{vc}$ ) as a function of the number of poles

Poles Number (2P)	2	4	6	8
Volume controlling ratio ( $\lambda_{vc}$ )	0.6-1.0	1.2-1.8	1.6-2.2	2-8

Table- A-3: Stator inner to outer diameter ratio ( $K_{ds}$ ) as a function of poles number

Poles Number (2P)	2	4	6	8
Ratio ( $K_{ds}$ )	0.54-0.58	0.61-0.68	0.68-0.71	0.72-0.74

Table- A-4: current density of stator winding as a function of poles number

Poles Number (2P)	2 or 4	6 or 8
A current density of stator winding ( $J_{co}$ )	4-7 A/mm <sup>2</sup>	5-8 A/mm <sup>2</sup>

Table- A-5: stator and rotor slot number as a function of poles number

(2P)	$Q_s$	24	36	48	—
2	$Q_r$	18	30	40	
		20	28	30	
4	$Q_s$	24	36	48	72
		$Q_r$	22	34	46
	18		30	42	66
	28	36	48	62	
6	$Q_s$	—	36	54	72
		$Q_r$	32	46	68
	28		44	64	
	22	40	62		

8	$Q_s$	—	—	48	72
	$Q_r$			38	60
				36	56

Table (A-6) stator slot filling factor ( $K_{fil}$ )

$K_{fil} = 0.35 \rightarrow 0.4$	For machines less than 10 kw
$K_{fil} = 0.4 \rightarrow 0.44$	For machines above 10 kw

Table- A-7: end-ring length as a function of poles number and coil span ( $y_{sc}$ )

Poles number (2P)	End turn ( $\ell_{et}$ ) as a function of coil span
2	$\ell_{et} = (2 * y_{sc} - 0.04), y_{sc}$ in meter
4	$\ell_{et} = (2 * y_{sc} - 0.02), y_{sc}$ in meter
6	$\ell_{et} = (\frac{\pi}{5} * y_{sc} + 0.018), y_{sc}$ in meter
8	$\ell_{et} = (2.2 * y_{sc} - 0.012), y_{sc}$ in meter

Table- A-8: The factor ( $Y_{ds}$ ) as a function of number of slots / pole / phase ( $q_s$ )

$q_s$	The factor $Y_{ds}$ in the equation of stator slot differential permeance
1	$Y_{ds} = 9.5 * 10^{-2}$
2	$Y_{ds} = (0.25 * \sin(\theta) + 2.6) * 10^{-2}$
3	$Y_{ds} = (0.18 * \sin(\theta) + 1.24) * 10^{-2}$
4	$Y_{ds} = (0.24 * \sin(\theta) + 0.76) * 10^{-2}$
6	$Y_{ds} = (0.11 * \sin(\theta) + 0.41) * 10^{-2}$

Table- A-9: Carter coefficient ( $K_{ca}$ )

$K_{ca} = 1.2 - 1.3$	For semi-closed stator slots
$K_{ca} = 1.5 - 1.7$	For open-stator slots

Table- A-10: Machine mechanical losses ( $P_{mec}$ ) as a function of pole-pairs

pole-pairs (P)	Mechanical losses ( $P_{mec}$ ) in terms of $P_{out}$
1	$P_{mec} = 0.03 * P_{out}$
2	$P_{mec} = 0.012 * P_{out}$
3, 4	$P_{mec} = 0.008 * P_{out}$

Table- A-11: temperature convection coefficient ( $\alpha_{conv}$ ) as a function of poles number

Poles number (2P)	$\alpha_{conv}$ (W/m <sup>2</sup> . K)
2	60
4	50
6	40
8	32

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